

# Zero Energy Majorana Fermions in p-Wave Superfluids



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# Introduction

p-wave superfluid/superconductor:

$^3\text{He}$ , p-wave Feshbach resonance,  $\text{Sr}_2\text{RuO}_4$ , Non-centrosymmetric SC

 **Ginzburg-Landau equation: Macroscopic scale physics**  $\sim \xi$

Spontaneous mass flow, textures, (Tsutsumi et al., Poster)

 **Quasiclassical Eilenberger equation: Intermediate region**

Spontaneous mass flow and textures self-consistently determined from **quasiparticle states** (Ichioka et al.)

Valid for the weak coupling regime  $\xi \gg k_F^{-1}$

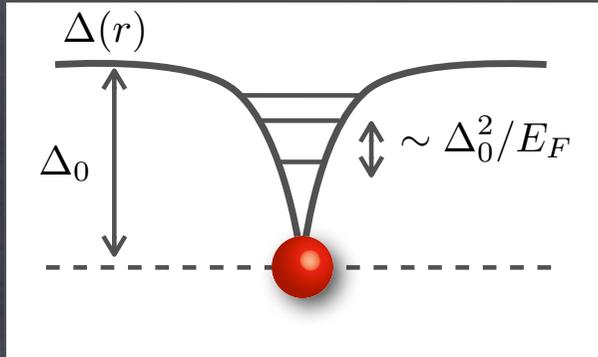
 **Bogoliubov-de Gennes equation: Microscopic scale physics**  $\sim \xi \sim k_F^{-1}$

**Quasiparticle excitations in quantum limit**

Applicable to the strong coupling regime beyond the BCS regime

$\Rightarrow$  zero energy Majorana states appear in chiral p-wave SF's with vortices

# Zero Energy States in Spin-Polarized p-Wave SF



Axisymmetric vortex in 2D s-wave pairing state

$$\Delta(\mathbf{r}, \mathbf{k}) = \Delta(r) e^{iw\theta} \quad w \in \mathbb{Z}$$

Core bound states in WEAK coupling limit

$$E_{\ell, n} = - \left( \ell - \frac{w}{2} \right) \epsilon_0 + \left( n - \frac{w-1}{2} \right) \epsilon_1$$

azimuthal quantum number  $\ell \in \mathbb{Z}$   $\epsilon_0 = \mathcal{O}\left(\frac{\Delta_0^2}{E_F}\right)$ ,  $\epsilon_1 = \mathcal{O}(\Delta_0)$

Axisymmetric vortex in "spinless" chiral p-wave state

$$\Delta(\mathbf{r}, \mathbf{k}) = (k_x - ik_y) \Delta(r) e^{iw\theta}$$

$$E_{\ell, n} = - \left( \ell - \frac{w-1}{2} \right) \epsilon_0 + \left( n - \frac{w-1}{2} \right) \epsilon_1$$

The lowest energy of the core-bound states

	w: odd	w: even
s-wave	Non-zero	Non-zero
p-wave	zero	Non-zero

# Zero Energy States in "Spin-Triplet" p-Wave SF

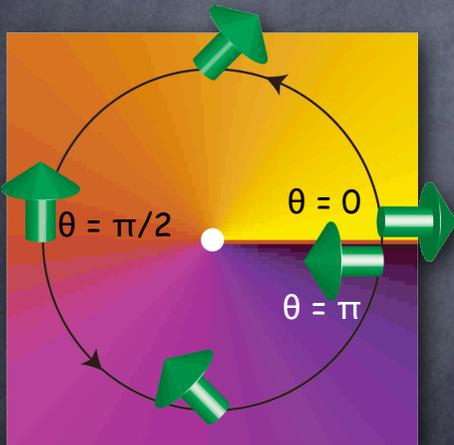
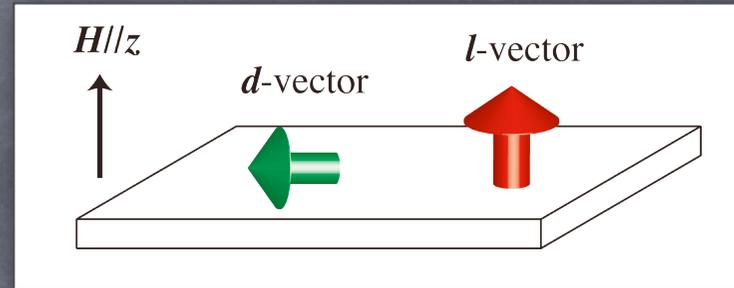
e.g.,  $^3\text{He-A}$  phase between parallel plates

See, D. Ivanov, PRL **86**, 268 (2001)

## Order parameter in 2D plate

$$\Delta_\alpha(\mathbf{r}, \mathbf{k}) = e^{iw\theta} \Delta(r) \hat{\mathbf{d}}_\alpha(\hat{k}_x + i\hat{k}_y)$$

Symmetry of d-vector  $(\theta, \hat{\mathbf{d}}) \rightarrow (\theta + \pi, -\hat{\mathbf{d}})$



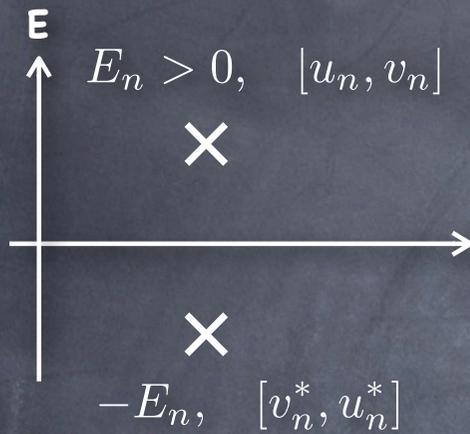
## Fractional vortex $w = \frac{1}{2}$

$\Rightarrow$  d-vector rotates in xy plane:  $H//z$   $\hat{\mathbf{d}} = \frac{1}{\sqrt{2}} \left[ \cos \frac{\theta}{2} \hat{x} + \sin \frac{\theta}{2} \hat{y} \right]$

$$\Delta(\mathbf{r}, \mathbf{k}) = \frac{\Delta(r)}{2} \left[ \underbrace{e^{i\theta} |\uparrow\uparrow\rangle}_{\text{Singular vortex}} - \underbrace{|\downarrow\downarrow\rangle}_{\text{Gapful}} \right] (\hat{k}_x + i\hat{k}_y)$$

$\Rightarrow$  Low-energy excitation equivalent to the singular vortex of chiral  $k_x + ik_y$  pairing

# Zero Energy Quasiparticles



Bogoliubov quasiparticle  $\Gamma_n^\dagger \equiv \int [u^*(\mathbf{r})\Psi^\dagger(\mathbf{r}) + v(\mathbf{r})\Psi(\mathbf{r})] dr$

$$\Gamma_n^\dagger \neq \Gamma_n \quad \forall E_n \neq 0$$

**Zero energy quasiparticle:** consists of the equivalent contribution from the particle and hole

$$E_n = 0, \quad v_{E=0}^* = u_{E=0}$$

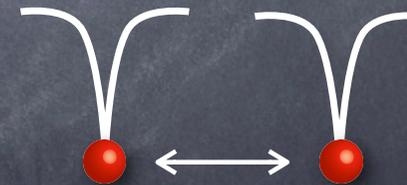
$$\Gamma_{E=0}^\dagger = \Gamma_{E=0}$$



self-conjugate operator = Majorana fermion

⇒ Novel algebraic aspect (a type of non-abelian anyons)

**Aim**



- Stability of zero energy Majorana fermions in p-wave superfluids with single vortex, especially, in atomic gases near p-wave Feshbach resonance
- Are they topologically protected against the vortex-vortex interaction?

## Bogoliubov-de Gennes equation

$$\underline{\mathcal{M}}(x, y) \begin{bmatrix} u_n(x, y) \\ v_n(x, y) \end{bmatrix} = E_n \begin{bmatrix} u_n(x, y) \\ v_n(x, y) \end{bmatrix}$$

Diagonalization with  $\begin{cases} \text{finite element method based on discrete variable representation} \\ \text{shift-invert Lanczos/Arnoldi method} \end{cases}$

$$\mathcal{M}_{11}(x, y) = -\mathcal{M}_{22}(x, y) \equiv -\frac{\hbar^2}{2m}(\partial_x^2 + \partial_y^2) - \mu$$

$$\mathcal{M}_{12}(\mathbf{r}) = -\mathcal{M}_{21}^*(\mathbf{r}) \equiv -\frac{1}{\sqrt{2}k_0} \left[ \pm \Delta_{\pm 1}(\mathbf{r}) (\partial_x \pm i\partial_y) \pm \frac{1}{2} (\partial_x \pm i\partial_y) \Delta_{\pm 1}(\mathbf{r}) \right]$$

chiral p-wave state in 2D

**Gap equation** Axisymmetric vortex state with odd winding number is assumed

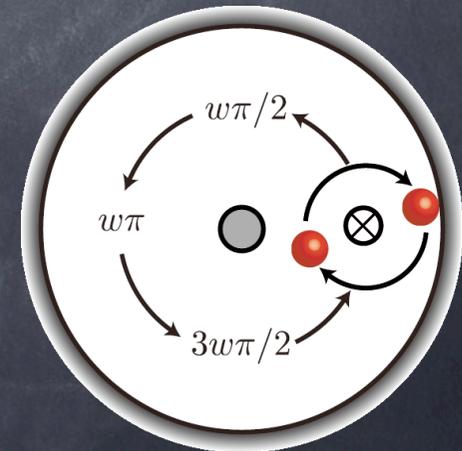
$$\Delta_{\pm}(\mathbf{r}) = \frac{g}{\sqrt{2}k_0} \sum_{E_n < 0} \left[ v_n^*(\mathbf{r}) (\partial_x \pm i\partial_y) u_n(\mathbf{r}) - u_n(\mathbf{r}) (\partial_x \pm i\partial_y) v_n^*(\mathbf{r}) \right]$$

The logarithmic divergence on the cutoff energy can not be removed by replacing "g" to renormalized one

**Conservation of particle number**

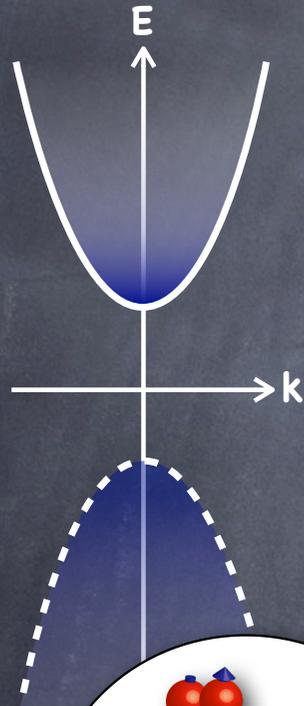
$$N = \sum_n \int |u_n(\mathbf{r})|^2 d\mathbf{r}$$

BEC  $\mu < 0$   $\longleftrightarrow$   $\mu \sim E_F$  BCS

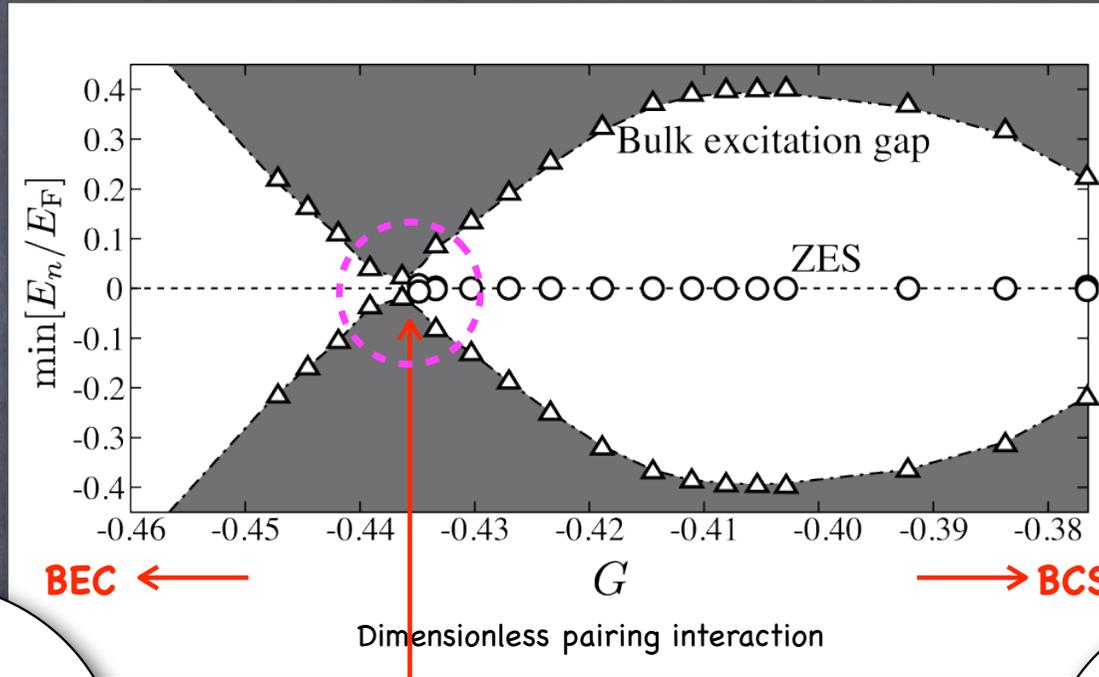


# Low-Energy Spectrum near p-Wave Resonance

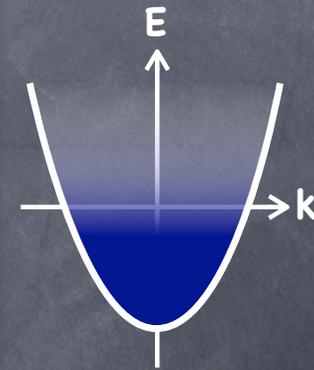
Bulk excitation energy  $E_k = \sqrt{(k^2/2M - \mu)^2 + |kY_{1,1}(\hat{\mathbf{k}})\Delta|^2}$



$\min |E_{\mathbf{k}}| = |\mu|$   
 $\mu < 0$



BCS-BEC topological transition  
 $\min |E_{\mathbf{k}}| = 0$   
 $\mu = 0$



$\min |E_{\mathbf{q}}| = \Delta Y_{1,1}(\hat{\mathbf{k}})$   
 $\mu \sim E_F$

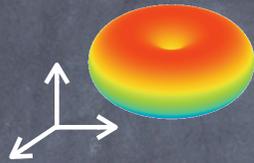
# Dispersion of Edge States

e.g., Stone and Roy, PRB '04

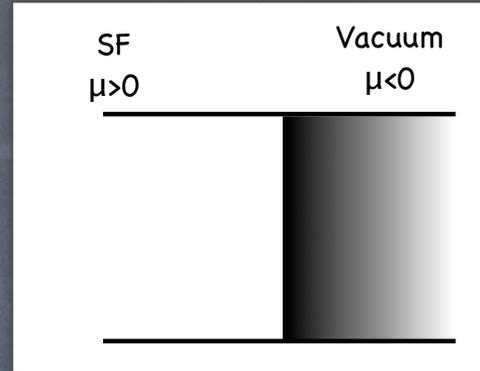
## Edge states

Andreev bound states at the edge

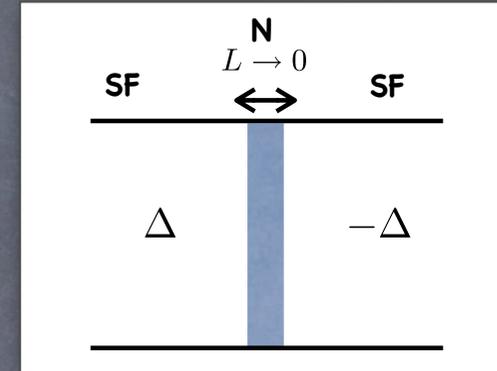
$$\Delta(\mathbf{r}, \mathbf{k}) \propto k_x - ik_y$$



QP scattering at the wall



1D S/N/S junction



## Axisymmetric chiral p-wave state with winding number w

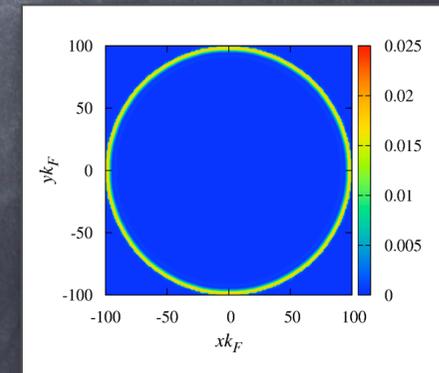


$$\Delta(\mathbf{r}, \mathbf{k}) = \frac{1}{\sqrt{2}k_0} (k_x - ik_y) \Delta(r) e^{iw\theta}$$

$$u_Q(\mathbf{r}) = u_Q(r) e^{iQ\theta}$$

$$v_Q(\mathbf{r}) = v_Q(r) e^{i(Q-w-1)\theta}$$

$$E_Q = \left( Q - \frac{w-1}{2} \right) \frac{\Delta}{k_F R} \quad Q \in \mathbb{Z}$$



Zero energy "edge" state appears if w is ODD

## Weak coupling BCS regime

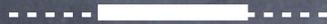
$$\Delta_0/E_F = 0.3, \quad \mu \sim E_F$$

The wave function is bound at the core and edge

2 length scale  $\xi > k_F^{-1}$

$$E/E_F = \mathcal{O}(10^{-15})$$

doubly degenerate  
zero energy states

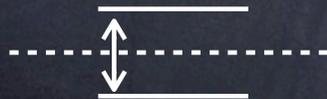


## BCS-BEC transition point

$$\Delta_0/E_F = 0.65, \quad \mu \sim 0$$

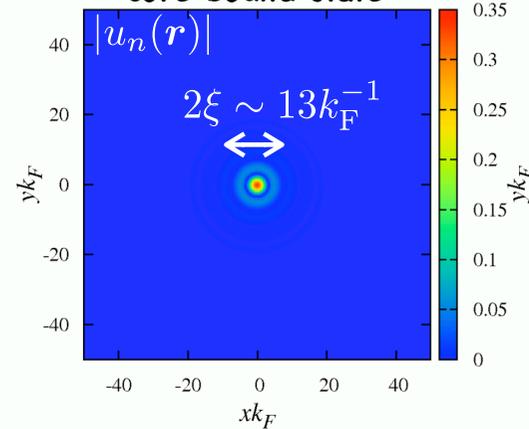
Spatial variation having long wavelength leads to the overlap between edge- and core-wave functions.

$$E_n/E_F = \pm 0.007$$

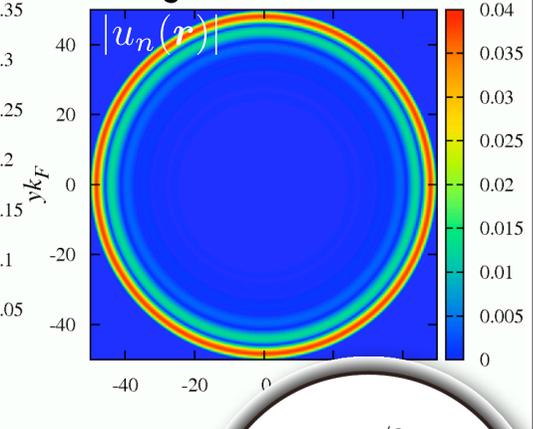


## Wave functions of the lowest eigenstates

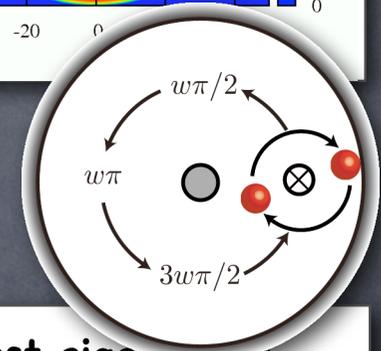
core-bound state



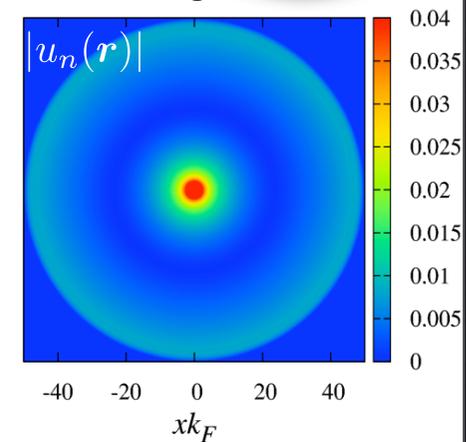
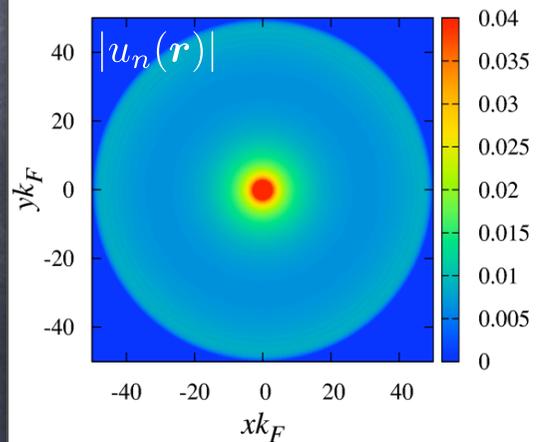
edge-bound state



Pairing interaction



## Wave functions of the lowest eigenstates



## Summary on Single Vortex

- Quasiparticle excitations with zero energy appear if chiral p-wave superfluids have single vortex with ODD winding number
- The zero energy “Majorana” fermions are bound at the vortex core and edge in the weak coupling BCS regime.
- The Majorana fermions survive until the BCS-BEC transition point, and vanish in the BEC phase (gapful excitation), where the low energy excitation in BEC regime is trivial, which is determined by the chemical potential.
- At the transition point, the spatial overlap between core- and edge-bound wavefunctions gives rise to the splitting of the degenerate zero energy eigenstates.

-TM, Ichioka, Machida, PRL **101**, 150409 (2008)

-Tsutsumi, Kawakami, TM, Ichioka, Machida, PRL **101**, 135302 (2008)

# Non-Abelian Braiding Statistics

Ivanov, PRL **86**, 268 (2001)

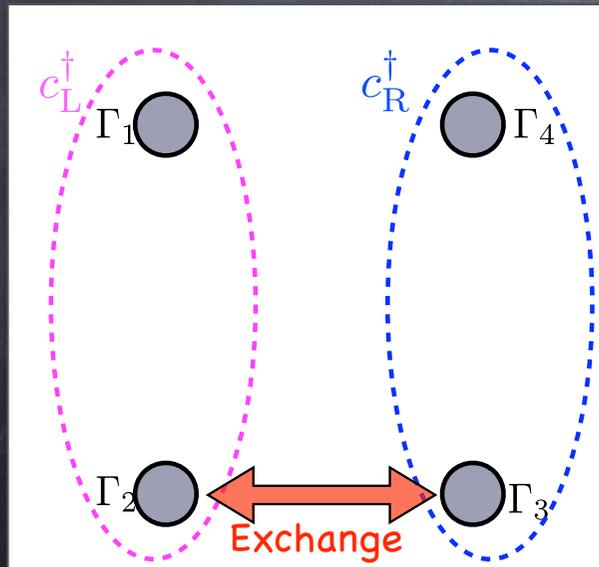
Zero energy Majorana fermions  $\Gamma^\dagger = \Gamma$

Complex fermion  $c \equiv \frac{1}{2}(\Gamma_1 + i\Gamma_2)$   $c^\dagger \neq c$

Vacuum of complex fermions  $|0\rangle$

occupied state  $|1\rangle \equiv c^\dagger|0\rangle$

$\Gamma_1, \Gamma_2, \Gamma_1\Gamma_2 \Rightarrow \sigma_x, \sigma_y, \sigma_z$  Pauli matrices



## Non-Abelian statistics of vortices

Degenerate ground states:

$$|0\rangle_L|0\rangle_R, |1\rangle_L|1\rangle_R, |1\rangle_L|0\rangle_R, |0\rangle_L|1\rangle_R$$

“Exchange”

$$|1\rangle_L|1\rangle_R \mapsto |1\rangle_L|1\rangle_R + b|0\rangle_L|0\rangle_R$$

$\Rightarrow$  Pair annihilation of zero energy Majorana fermions

**Braiding vortices  $\Rightarrow$  “Quantum circuit”**

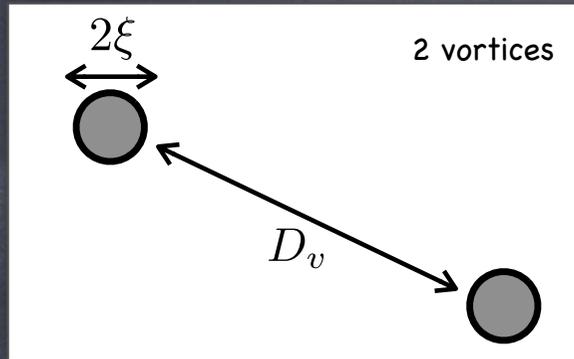
Kitaev, Ann. Phys. **303**, 2 (2003)

Freedman et al., Commun. Math. Phys. **227**, 605 (2003)

# Questions

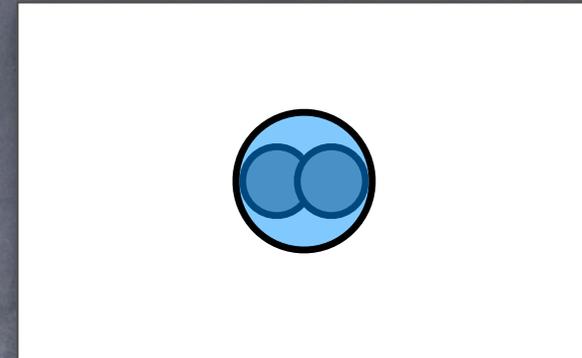
The zero energy states are topologically protected (?)

Dilute limit  $D_v \gg \xi$



Each vortex involve zero energy states

Dense limit  $D_v \leq \xi$



Giant vortex with EVEN winding number



No zero energy excitations!

←→  
?Intermediate region?  
 $D_v \sim \mathcal{O}(10\xi)$

## Aim

Robustness of zero energy states against the **vortex interaction** & external disturbances

e.g., **vortex distance**  $D_v$ , vortex number  $N_v$ , pinning potential, ...

# Setting up the Model

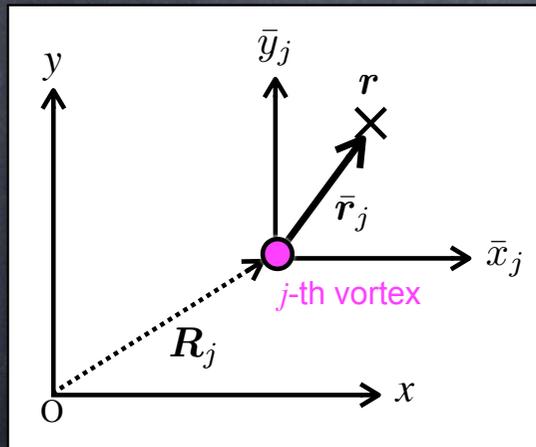
$$\Delta(\mathbf{r}, \mathbf{k}) = \frac{1}{\sqrt{2}k_0} (k_x - ik_y) \prod_{j=1}^{N_v} e^{i\bar{\theta}_j} f(\bar{\mathbf{r}}_j) \quad f(\bar{\mathbf{r}}_j) \equiv \Delta_0 \tanh\left(\frac{|\mathbf{r} - \mathbf{R}_j|}{\xi}\right)$$

Orbital motion  
(chiral state)

Center-of-mass motion  
(Vortices)

Number of vortices:  $N_v$

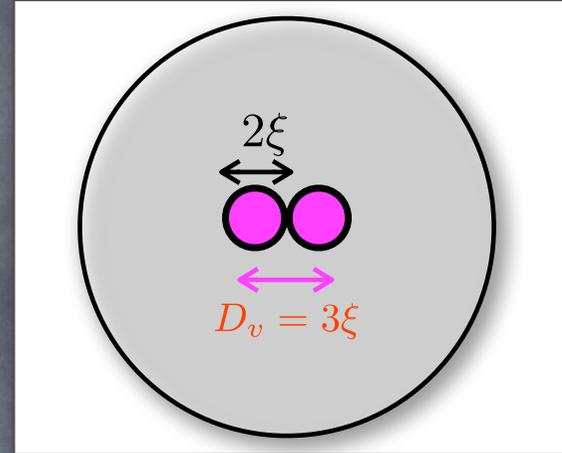
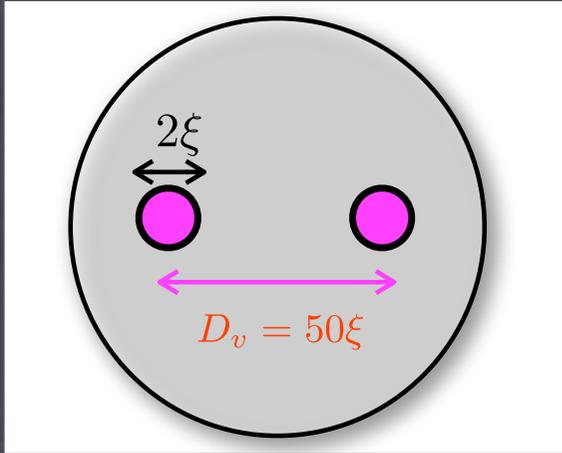
Distance between vortices:  $D_v$



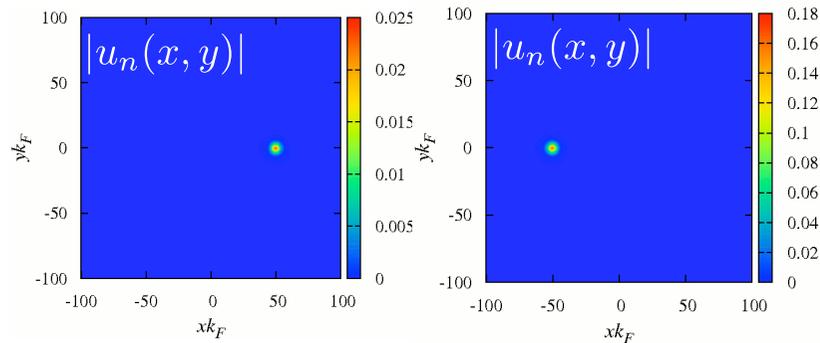
Bogoliubov-de Gennes equation

$$\underline{\mathcal{M}}(x, y) \begin{bmatrix} u_n(x, y) \\ v_n(x, y) \end{bmatrix} = E_n \begin{bmatrix} u_n(x, y) \\ v_n(x, y) \end{bmatrix}$$

## 2-Vortex System



Wave functions of the lowest excitations

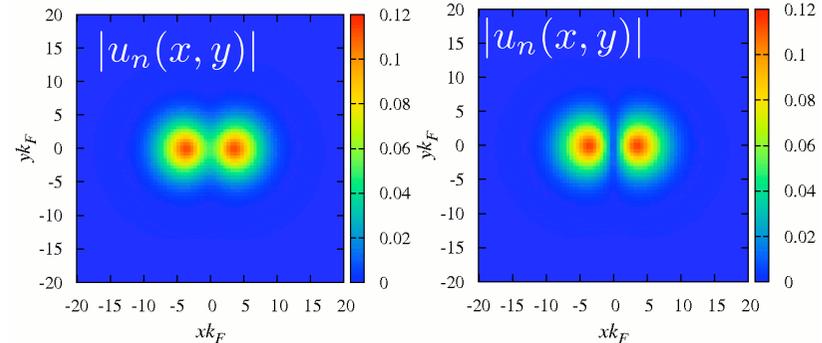


Separated core-bound state  
with zero energy

$$E/E_F = \mathcal{O}(10^{-16})$$

Majorana fermions

Wave functions of the lowest excitations



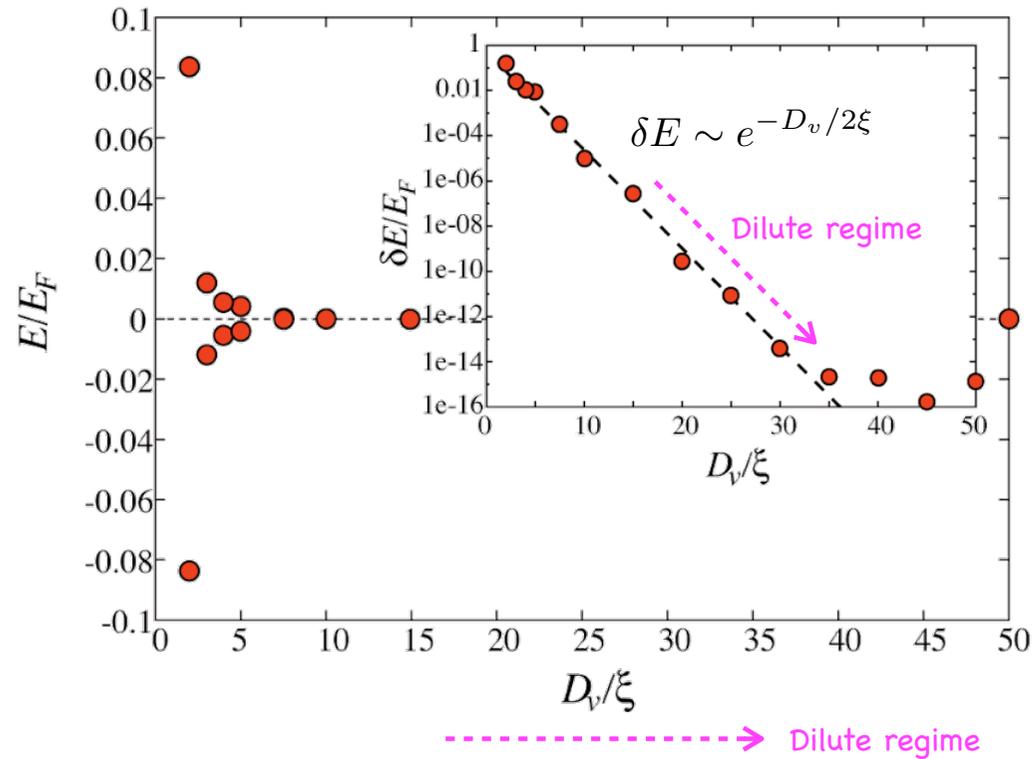
$$E = 0.0119E_F$$

$$E = -0.0119E_F$$

Overlap between core-bound states  
⇒ bonding and anti-bonding states

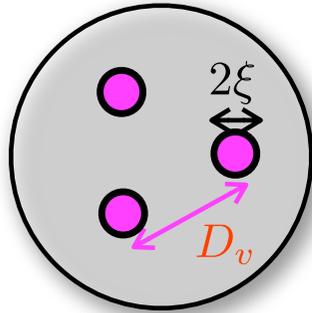
Doubly degenerate zero energy states appears in the DILUTE LIMIT, which are exponentially shifted from zero as the vortex distance ( $D_v$ ) becomes narrow

Splitting of eigenenergies of the lowest core-bound states

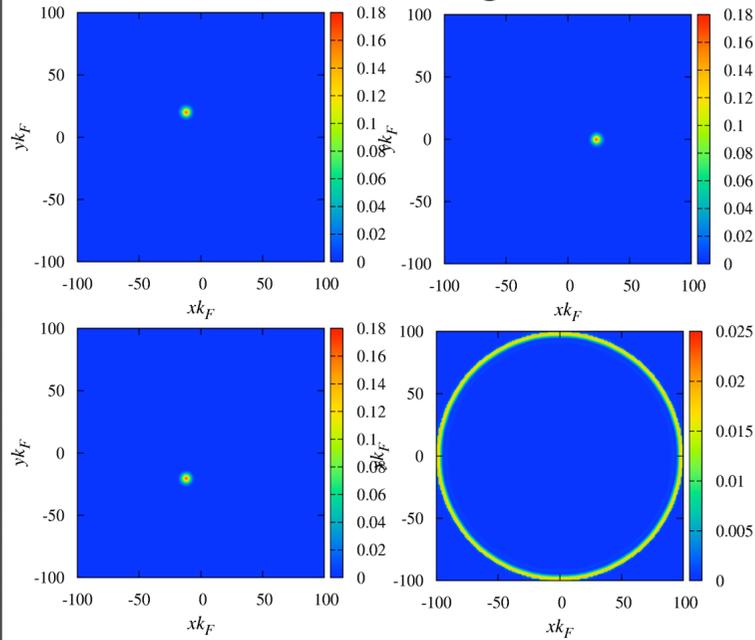


# 3-Vortex System

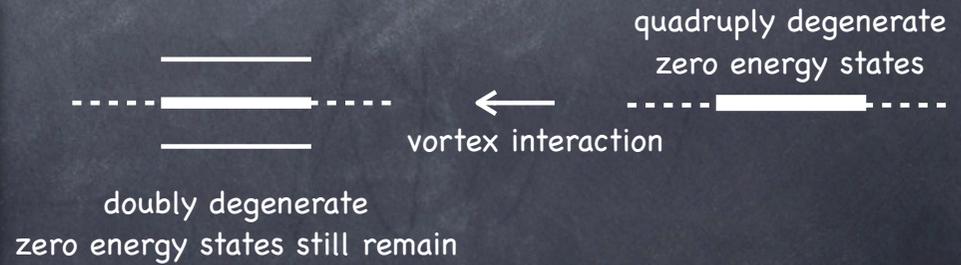
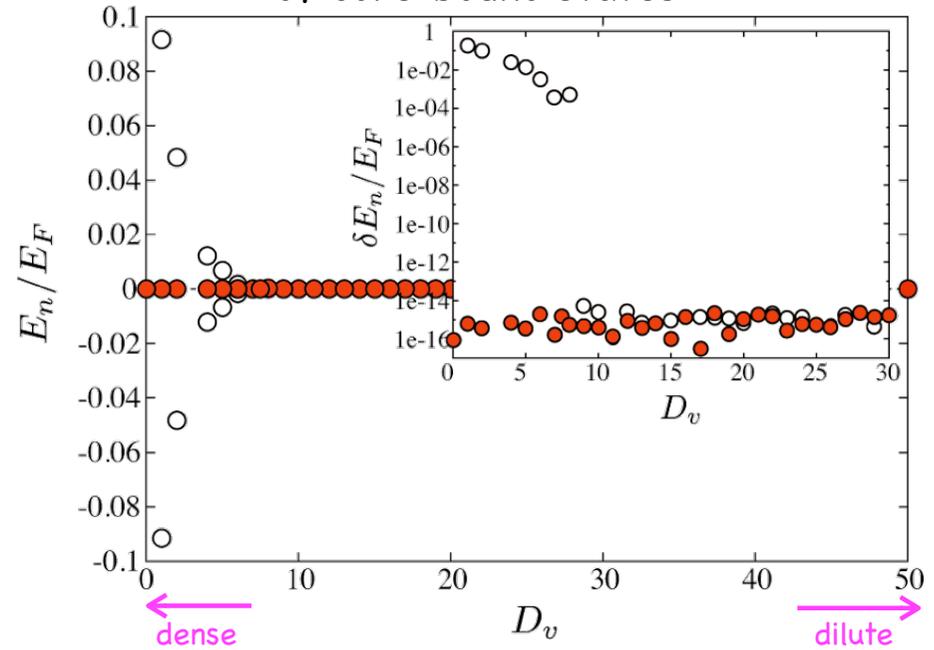
Vortex configuration



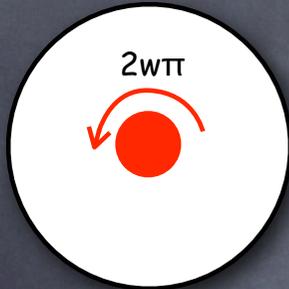
Wave functions of zero energy states in the dilute regime



Splitting of eigenenergies of core-bound states



# Protection of Zero Energy States



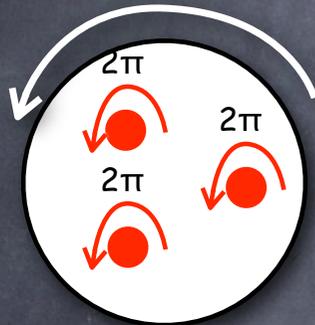
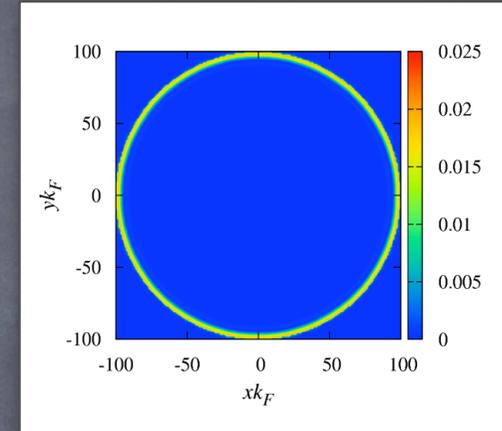
In an axisymmetric vortex with  $N_v=1$

$$u_Q(\mathbf{r}) = u_Q(r)e^{iQ\theta}$$

$$v_Q(\mathbf{r}) = v_Q(r)e^{i(Q-w-1)\theta}$$

$$E_Q = \left( Q - \frac{w-1}{2} \right) \frac{\Delta}{k_F R} \quad Q \in \mathbb{Z}$$

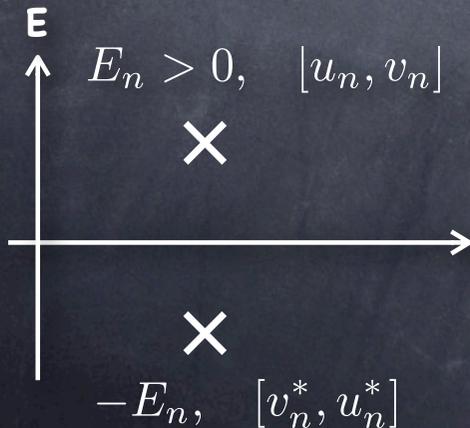
⇒ Zero energy "edge" state appears if  $w$  is odd



✓ In the case of  $N_v > 1$

The lowest edge state in odd  $N_v$  systems always have zero energy where the robustness is independent of  $D_v$  (odd winding number is not necessary).

$$E_Q = \left( Q - \frac{N_v-1}{2} \right) \frac{\Delta}{k_F R} \quad Q \in \mathbb{Z}$$



✓ Particle-hole symmetry of BdG eigenstates

The total number of BdG eigenstates is mod 2



in addition to the edge state, the another zero energy state must appear if  $N_v$  is ODD.

## Concluding Remarks

Robustness of zero energy "Majorana" states in chiral p-wave SF with many vortices

### 1. Even number $N_v$

- ✓ The zero energy states appear in the dilute limit, whose wave functions are bounded at the core
- ✓ The eigenenergies of the lowest core-bound states are exponentially lifted from the zero as vortex interaction increases

### 2. Odd number $N_v$

- ✓ Zero energy states bound at CORE and EDGE appear in the dilute limit
- ✓ Two of them always remain zero energy, and the robustness is independent of vortex distance and external disturbances

The full self-consistent calculation qualitatively reproduces the results obtained by the test potential ansatz

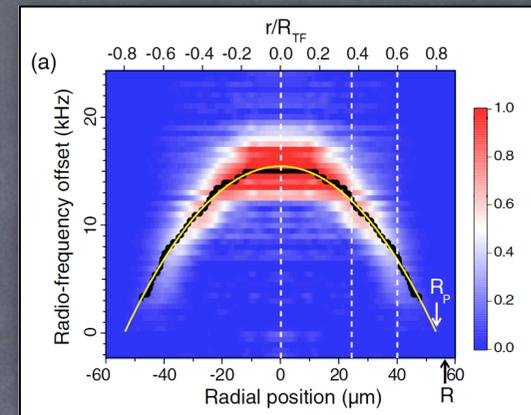
-TM and Machida, in preparation

## Remaining problems

✓ How to observe the “Majorana” fermions in atomic gases and  $^3\text{He-A}$

--The zero energy states may be detectable with the spatially resolved rf measurement, i.e., the probe of LDOS.

Y. Shin et al., PRL **99**, 090403 (2008)



✓ Microscopic simulation of braiding vortices with the zero energy quasiparticles

Degenerate ground states  $\Psi_1, \dots, \Psi_N$

$$\begin{bmatrix} \Psi_1 \\ \Psi_2 \\ \vdots \\ \Psi_N \end{bmatrix} \xrightarrow{\mathcal{U}} \begin{bmatrix} \Psi_1 \\ \Psi_2 \\ \vdots \\ \Psi_N \end{bmatrix}$$

universal matrix determined by vortex exchange

