

# Stability criterion of superfluidity in the presence of potential barrier

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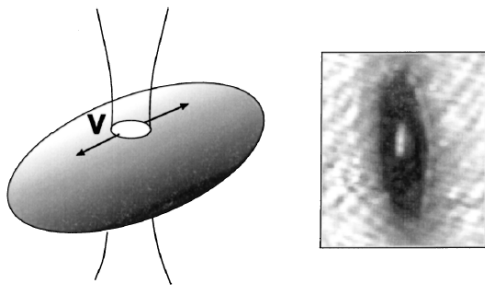
**D. Takahashi** ( Dept. of Basic. Science. Univ. Tokyo )

# Critical velocity of superfluidity (Earlier studies)

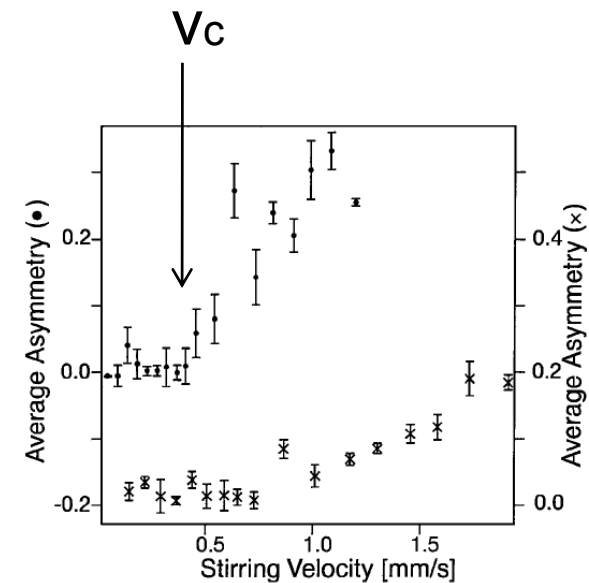
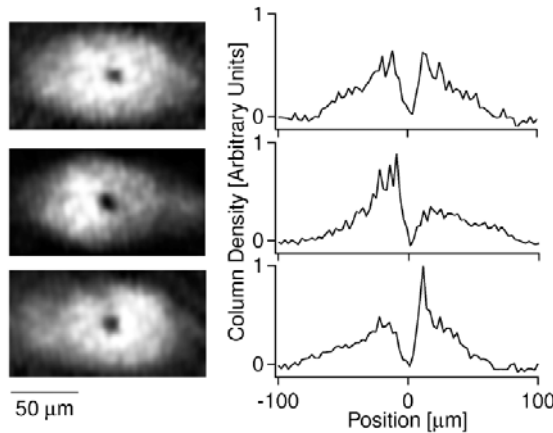
Landau's criterion  $v_c = \min\left(\frac{\varepsilon(p)}{p}\right) := v_{cl}$

In reality,  $v_c \ll v_{c, \text{Landau}}$ ; vortex creation in Cold Atoms

stirring condensate by laser beam



Raman et al. 1999



Onofrio et al. 2000

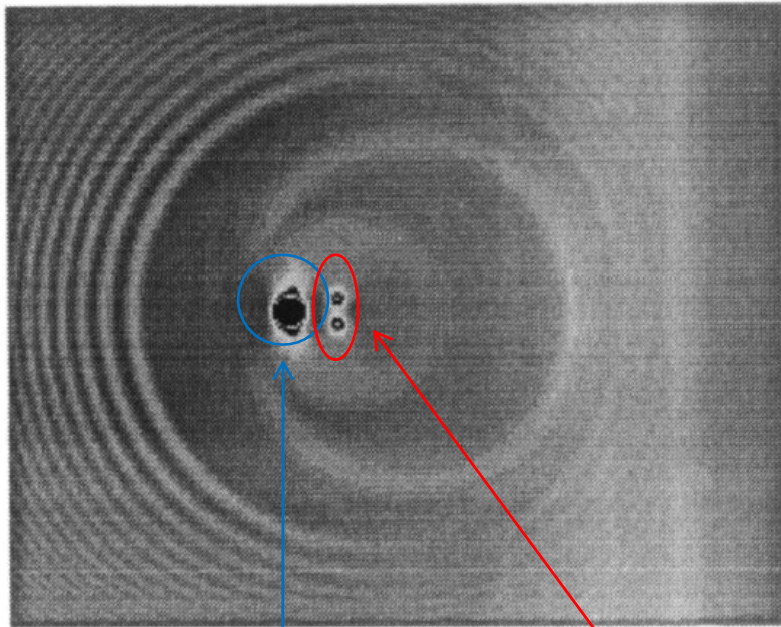
He4; Mobile impurity (Takahashi-Kono)

Vibrating wire (Yano et al.)

# Numerical works of Gross-Pitaevskii eq.

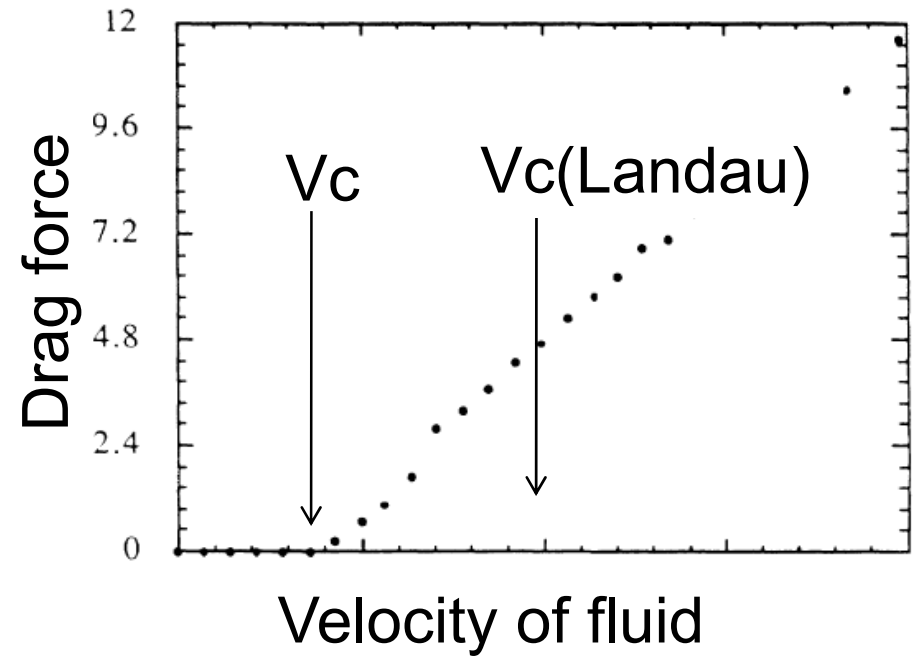
2D problem;  $V_c$  for flow around a disk

Frisch et al. 1992

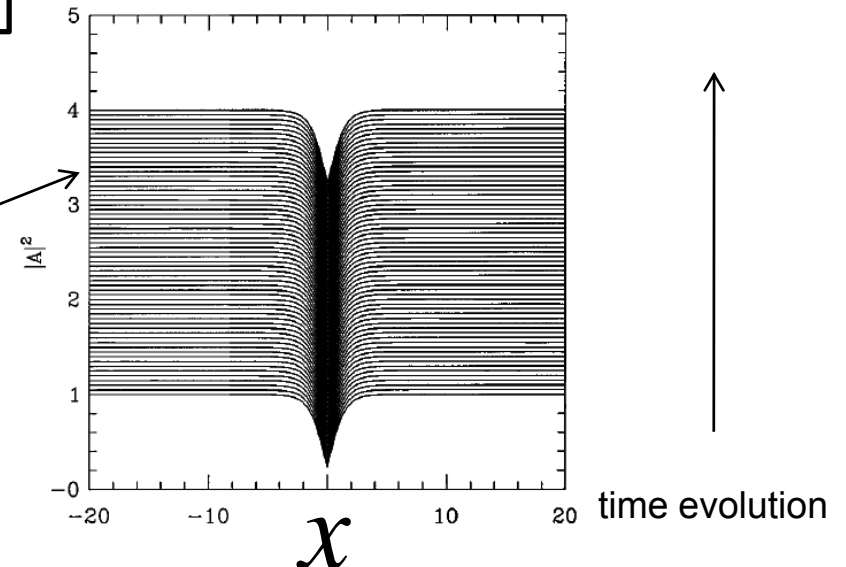
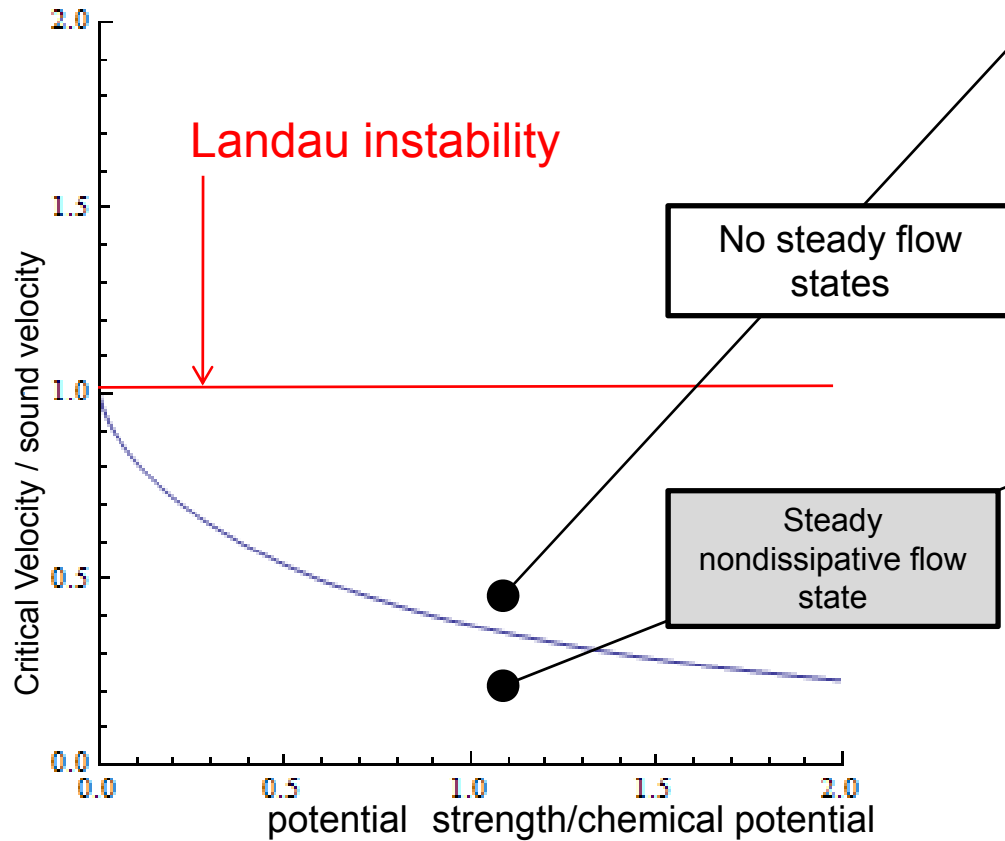
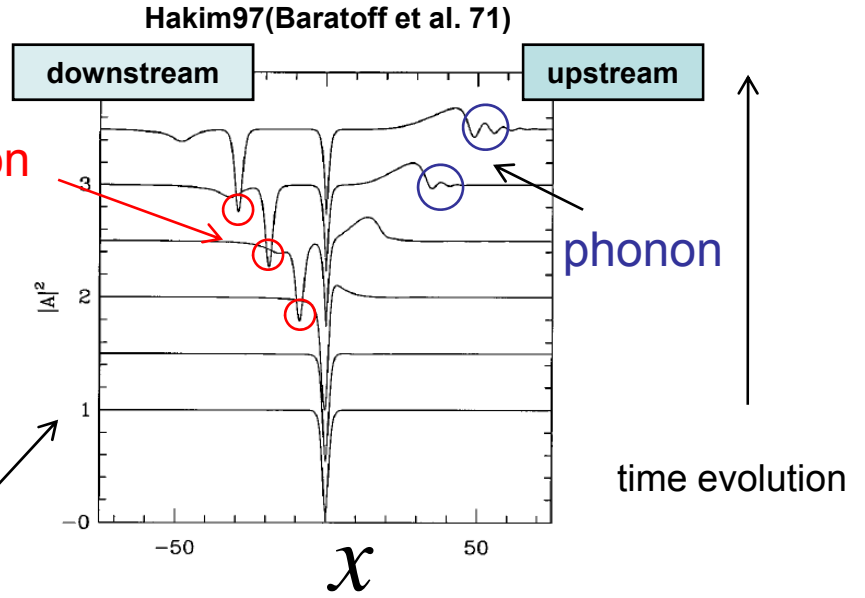
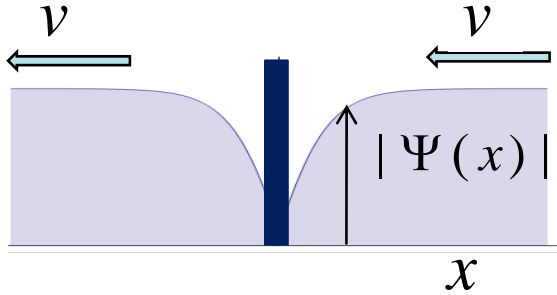


Disk

Vortices



# Critical current of flow against the potential barrier (1dGP)



# Summary of current status

- Flow in the presence of repulsive potential wall  
→ gray soliton (+phonon) creation
- Flow in the presence of extremely small potential  
→ Landau instability

Flow around a disk

→ vortex creation;

Q. Does a unified criterion exist ?

## Clue for solution

- Landau criterion → eigenenergy of excited states
  - soliton generation → wavefunction of excited states
- } → Spectral function
- Applicability to inhomogeneous system → spectral function of a local quantity

Our proposal: Description by local density spectral function

$$\hat{n}(x) := \hat{\psi}^\dagger(x)\hat{\psi}(x) - \langle \mathbf{g} | \hat{\psi}^\dagger(x)\hat{\psi}(x) | \mathbf{g} \rangle$$

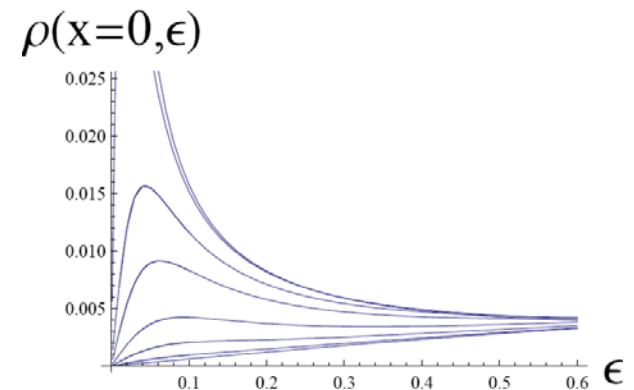
$$\rho(x, \epsilon) = \sum_l \langle l | \hat{n}(x) | \mathbf{g} \rangle^2 \delta(\epsilon - E_l + E_g)$$

When  $\epsilon \rightarrow 0$   $\rho(\mathbf{r}, \epsilon) \propto \epsilon^\beta$ ,

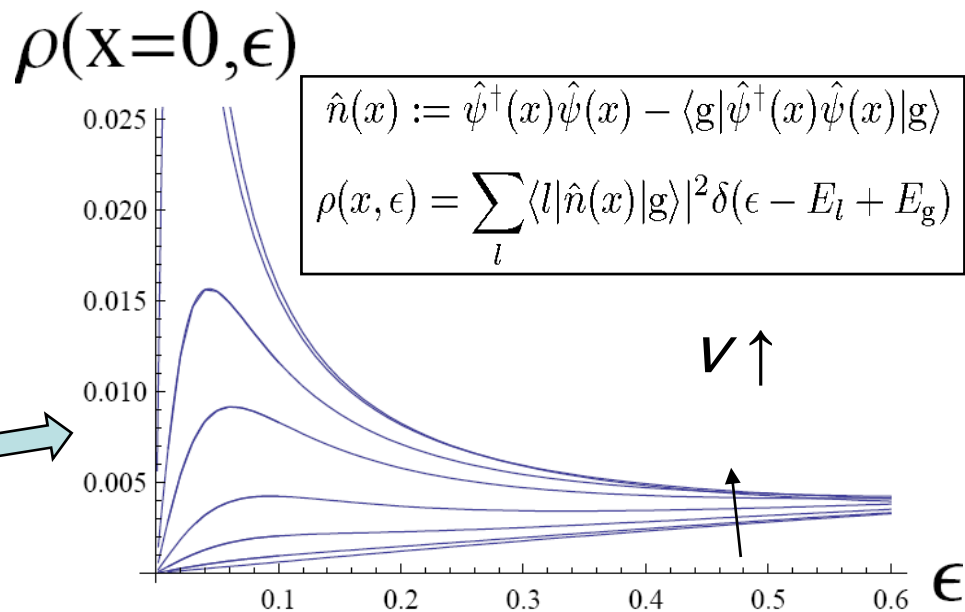
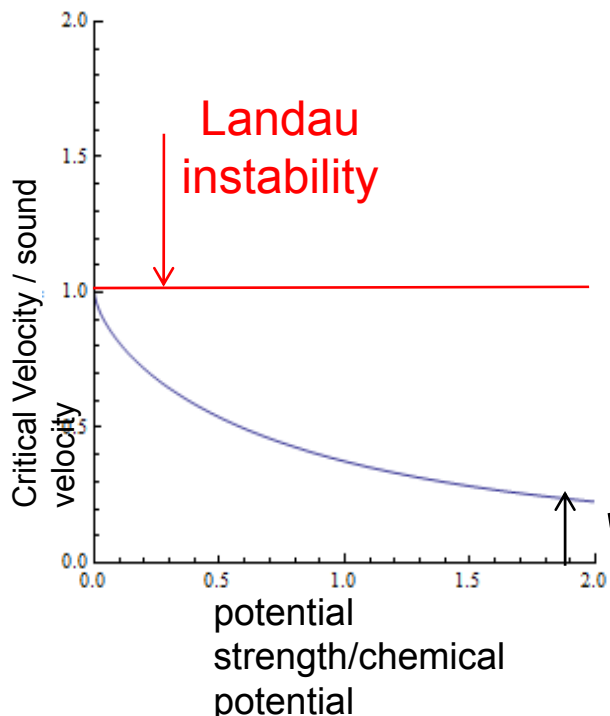
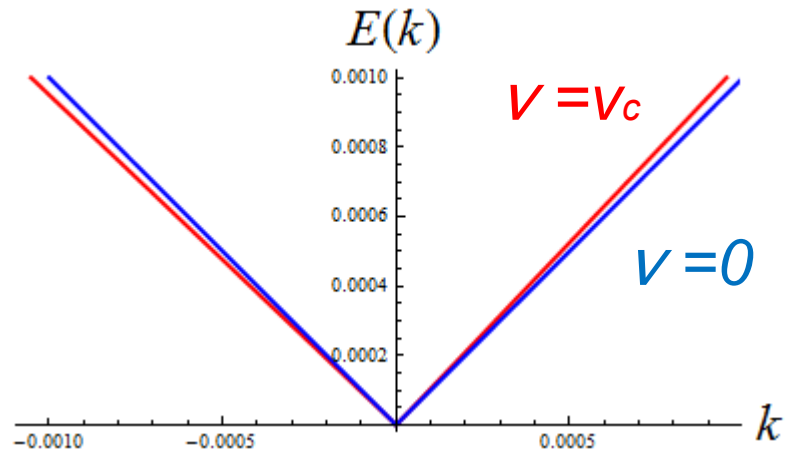
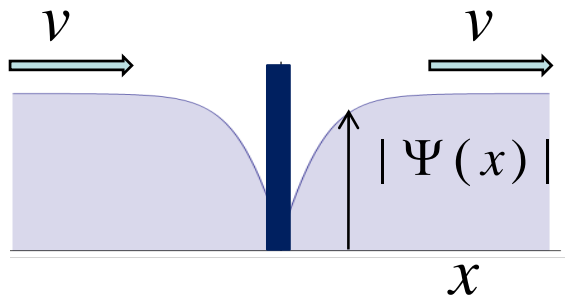
$$\beta = d, \quad \text{for } v < v_c$$

$$\beta < d, \quad \text{for } v = v_c$$

In  $d$ -dimension



# Flow in the presence of repulsive potential wall (1dGP+Bogoliubov)

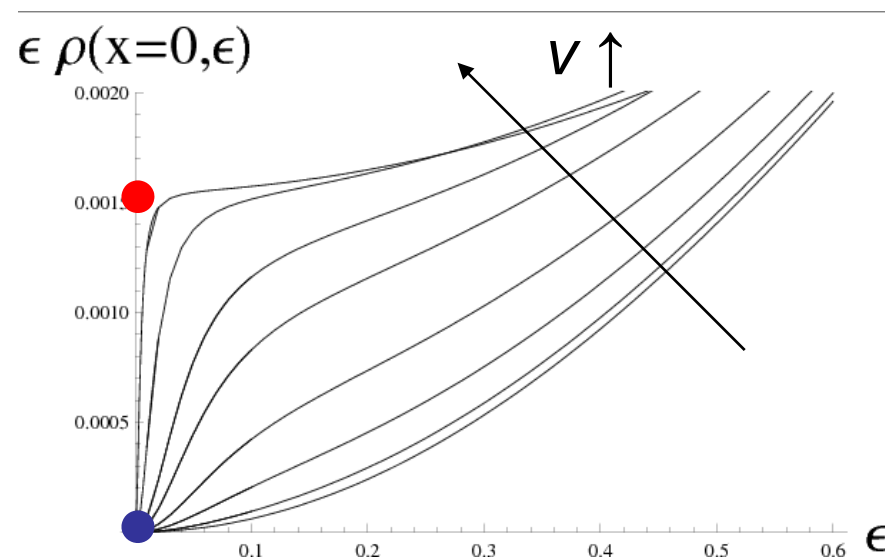


## Results for d=1

(repulsive potential)

$$\rho(x, \epsilon) \propto 1/\epsilon \quad v = v_c$$

$$\rho(x, \epsilon) \propto \epsilon \quad v < v_c$$



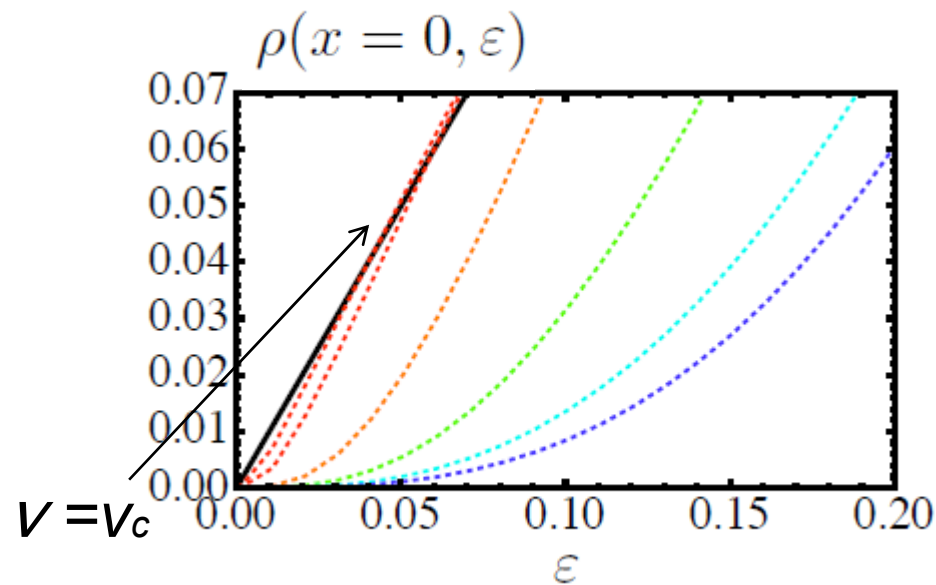
For 1D system

## Generalization to d=2,3

(repulsive potential)

$$\rho(x, \epsilon) \propto \epsilon^{d-2} \quad v(x \rightarrow \pm\infty) = v_c$$

$$\rho(x, \epsilon) \propto \epsilon^d \quad v(x \rightarrow \pm\infty) < v_c$$



For 3D system



# Reduction to Landau's criterion (1/2)

In the weak barrier limit, system becomes spatially uniform and hence

$$\rho(x, \epsilon) = \rho(\epsilon) = \int \frac{d\mathbf{q}}{(2\pi)^d} \underbrace{S(\mathbf{q}, \epsilon)}$$

“Dynamical structure factor”

In the weak current limit of Bogoliubov theory,

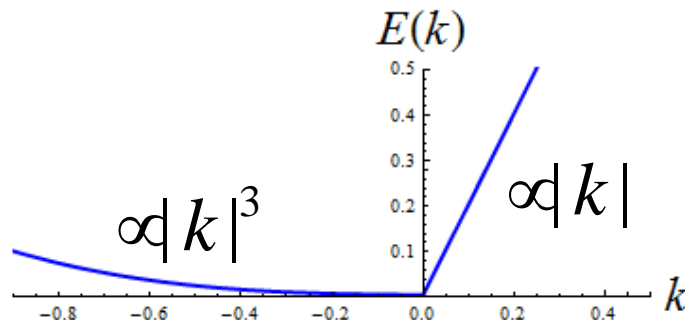
$$S(\mathbf{q}, \epsilon) \propto |\mathbf{q}| \delta(\epsilon - E_{\text{BG}}(\mathbf{q}))$$

$$\rho(\epsilon) \sim \int \frac{d\mathbf{q}}{(2\pi)^d} |\mathbf{q}| \delta(\epsilon - E_{\text{BG}}(\mathbf{q})) \sim \epsilon \underbrace{\int \frac{d\mathbf{q}}{(2\pi)^d} \delta(\epsilon - E_{\text{BG}}(\mathbf{q}))}$$

“Density of one-particle states”

$$\sim \epsilon \times \epsilon^{d-1} = \epsilon^d$$

On the critical current  $v = v_{\text{c, Landau}}$  of Bogoliubov theory,



$$\rho(\epsilon) \sim \epsilon^{d-4/3}$$

## Reduction to Landau's criterion (2/2)

In the limit of weak barrier limit and  $v=0$

Within the single mode approximation and using f-sum rule ( Feynman) ,

$$E(\mathbf{q}) \sim \frac{\hbar^2 |\mathbf{q}|^2}{2m \underbrace{\int \frac{d\epsilon}{2\pi} S(\mathbf{q}, \epsilon)}_{\text{Static structure factor}}}$$

Suppose that  $E(\mathbf{q}) \propto |\mathbf{q}|^n$  holds, then

$$S(\mathbf{q}, \epsilon) \propto |\mathbf{q}|^{2-n} \delta(\epsilon - E(\mathbf{q})) , \text{ from which}$$

$$\rho(\epsilon) \propto \epsilon^{\frac{2+d}{n}-2} \text{ follows.}$$

When  $n > 1$ ,  $v_c(\text{Landau}) = 0$ , which can be regarded as a result of

$$v(=0) = v_c \text{ when } \beta = \frac{2+d}{n} - 2 < d \quad (\Leftrightarrow n > 1)$$

# Discussion

## ➤ Long-time behavior of local density autocorrelation function

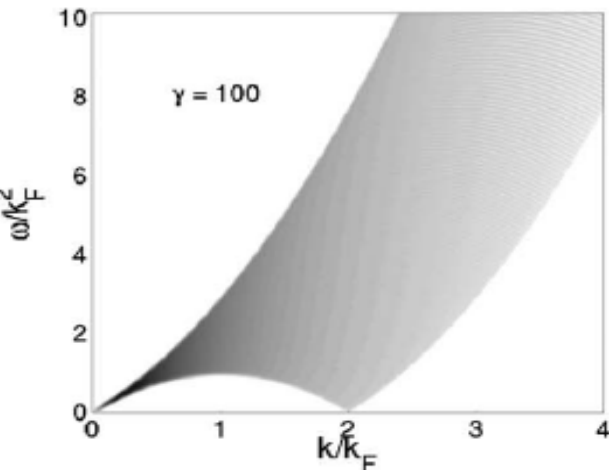
$$\langle n(\mathbf{r}, t)n(\mathbf{r}, 0) \rangle \sim t^{-\alpha}, \quad t \rightarrow \infty$$

$$\epsilon \rightarrow 0 \quad \rho(\mathbf{r}, \epsilon) \propto \epsilon^\beta \rightarrow \alpha = \beta + 1$$

$$\begin{array}{ll} \alpha = d + 1, & \text{for } v < v_c \\ \alpha < d + 1, & \text{for } v = v_c \end{array}$$

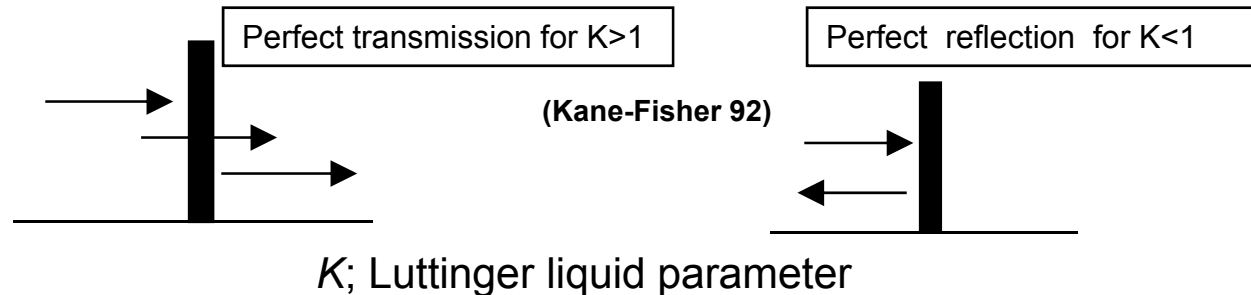
## ➤ Applicability beyond mean field theory

## ➤ Luttinger liquid (1d “superfluid”; quasi-long-range order) cf. Wada group(Nagoya)



Lieb-Leninger model(Caux 06)

$V_{c, \text{Landau}} = 0$ , but....



$$\langle n(x, t)n(x, 0) \rangle \sim \frac{A_0}{t^2} + \frac{A_1}{t^{2K}} + \frac{A_2}{t^{8K}} + \dots, \quad t \rightarrow \infty$$

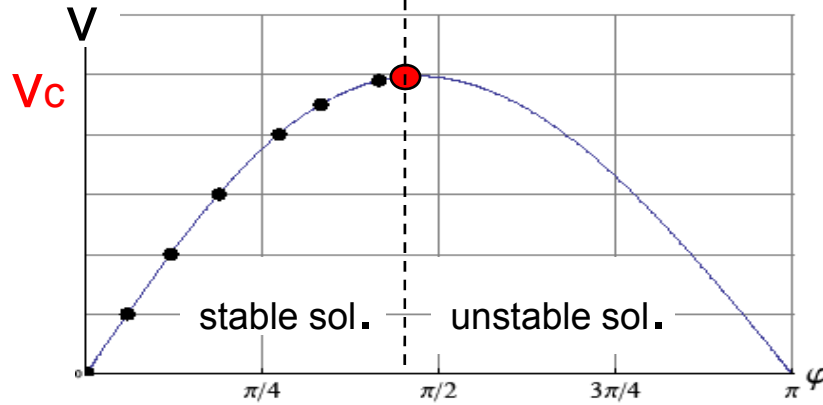
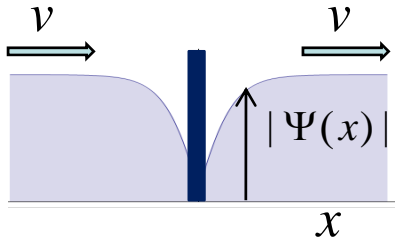
$$\alpha = 2(= d + 1), \quad \text{for } K \geq 1 \quad \text{SF phase or Tonk-Girardeau}$$

$$\alpha = 2K(< d + 1), \quad \text{for } K < 1 \quad \text{CDW phase}$$

# Conclusion

- A dynamical description of the criterion of instability of superfluid flow state is proposed
- It describes the instability of flow in the presence of potential barrier (due to soliton generation) and Landau instability in a unified way.
- Applicability “beyond mean-field-theory”
- Applicability to Luttinger-liquid is promising but it is to be further examined.
- Validity to instability of flow around a disk(cylinder) due to vortex generation is to be examined

# Josephson (current-phase) relation



At  $v=v_c$ , stable and unstable solutions are degenerate.

$$\Psi(x) = A(x) \exp[i\Theta(x)]$$

## Wavefunction of Bogoliubov excitations

$$S = ue^{-i\Theta} + ve^{i\Theta}, \quad G = ue^{-i\Theta} - ve^{i\Theta}, \quad \begin{cases} |\psi|^2 = A^2 \left[ 1 + \frac{2}{A} \operatorname{Re}(Ge^{-i\epsilon t}) \right] \\ \frac{\psi}{|\psi|} \simeq e^{-i\mu t + i\Theta} \exp \left[ \frac{i}{A} \operatorname{Im}(Se^{-i\epsilon t}) \right] \end{cases}$$

phase fluc.

density fluc.

“Fetter’s sol.”

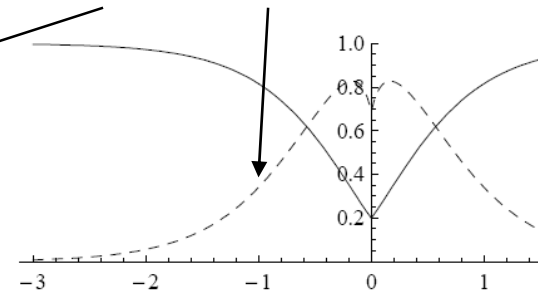
“local density fluc. sol.”

Localized density fluc.

$$\begin{pmatrix} S \\ G \end{pmatrix} = \begin{pmatrix} A \\ 0 \end{pmatrix}$$

$$\begin{pmatrix} S \\ G \end{pmatrix} = \begin{pmatrix} -2iqA \int_0^\infty \frac{1}{A^3} \frac{\partial A}{\partial \varphi} \\ \frac{\partial A}{\partial \varphi} \end{pmatrix}$$

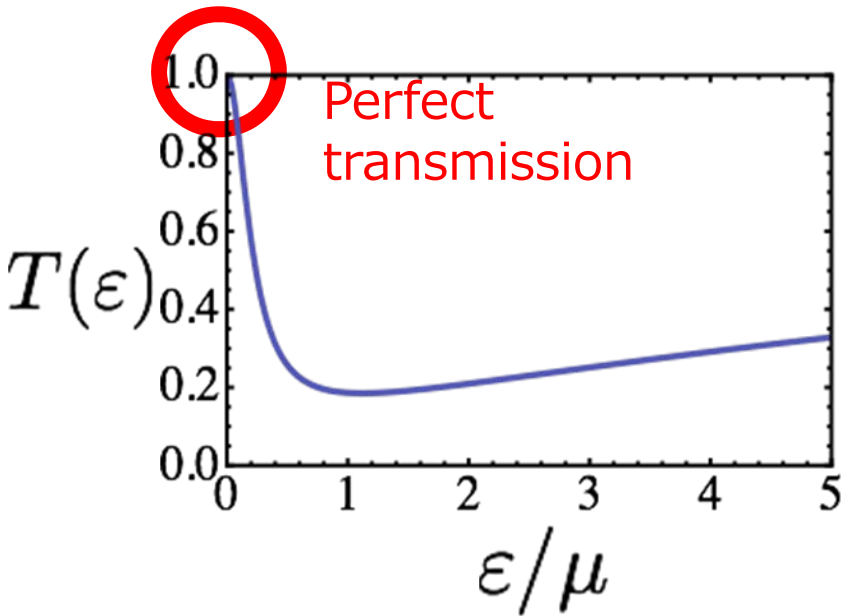
$A$  —  
 $A_\varphi$  - - -



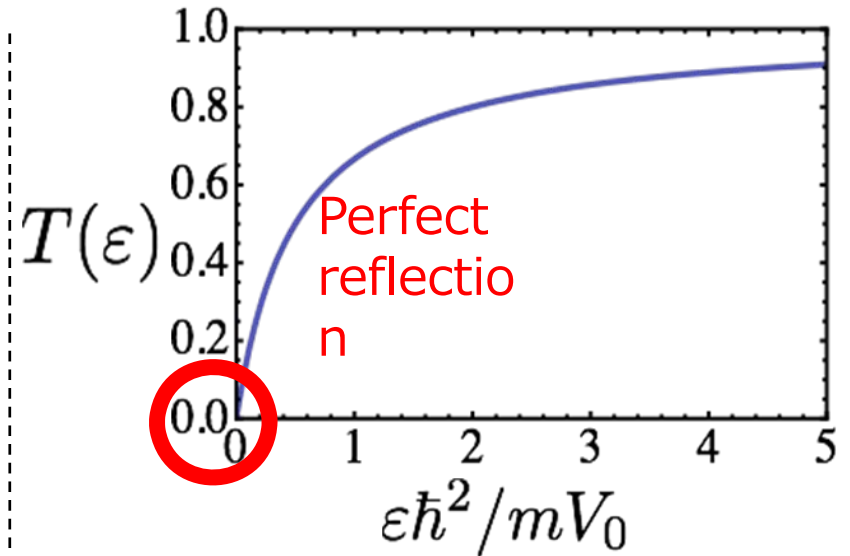
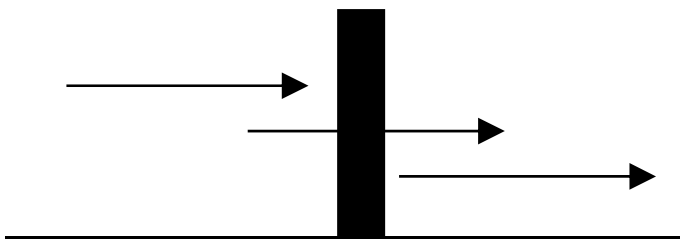
Exists for arbitrary velocity

Exists only for critical velocity

“Anomalous Tunneling effect” = Perfect transmission in low energy limit

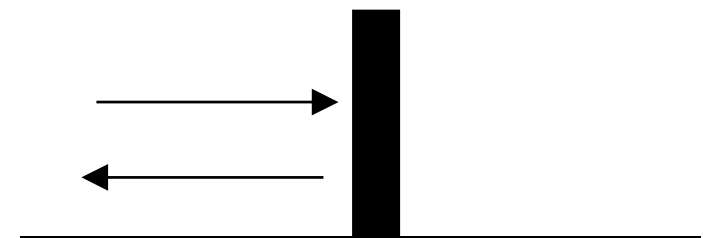


Bogoliubov excitation ( $V(x) = V_0\delta(x)$ )



Single particle in quantum mechanics

( $V(x) = V_0\delta(x)$ )



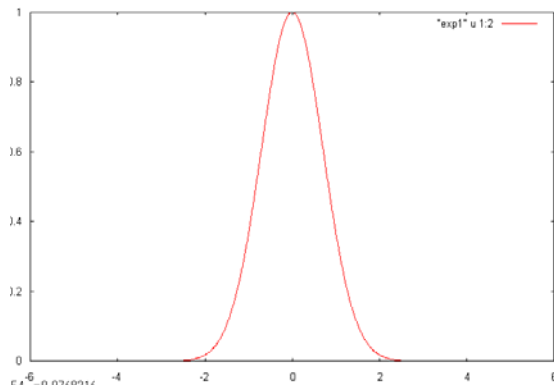
# Gross-Pitaevskii eq. + Bogoliubov eq.

$$\{H_0(\mathbf{r}) + g|\Psi(\mathbf{r})|^2\}\Psi(\mathbf{r})=0 \quad H_0(\mathbf{r}) = -\frac{\hbar^2}{2m}\nabla^2 + V_{\text{ext}}(\mathbf{r}) - \mu$$

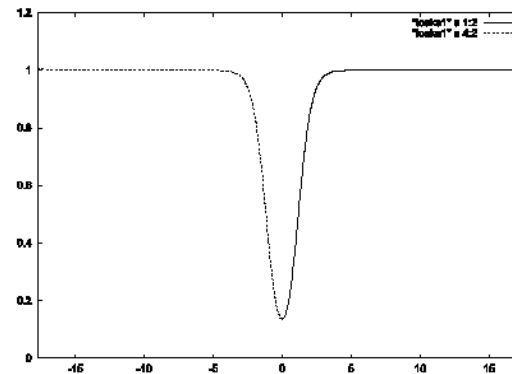
Gross-Pitaevskii equation (stationary)

$$\begin{pmatrix} H_0(\mathbf{r}) + 2g|\Psi(\mathbf{r})|^2 & -g[\Psi(\mathbf{r})]^2 \\ g[\Psi^*(\mathbf{r})]^2 & -H_0(\mathbf{r}) - 2g|\Psi(\mathbf{r})|^2 \end{pmatrix} \begin{pmatrix} u_i(\mathbf{r}) \\ v_i(\mathbf{r}) \end{pmatrix} = \varepsilon_i \begin{pmatrix} u_i(\mathbf{r}) \\ v_i(\mathbf{r}) \end{pmatrix}$$

Bogoliubov equation for  $u_i(\mathbf{r}), v_i(\mathbf{r})$



Potential barrier (Gaussian)

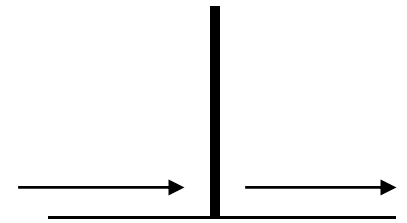


Condensate wavefunction

# Earlier works

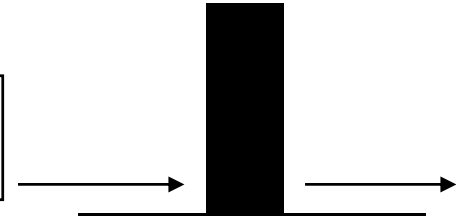
- Kovrizhin-Maksimov '01, Kovrizhin '01

Finding perfect transmission in the low energy limit  $f$   
Bogoliubov excitation in the presence of potential barrier  
 $V_0\delta(x)$



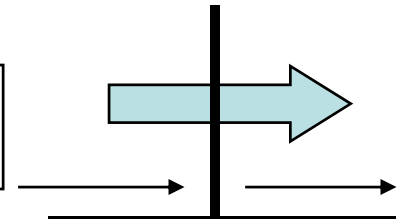
- Kagan et al. '03 (“quasi resonance” scenario)

Discussion on the anomalous tunneling in the presence of  
**rectangular** potential.



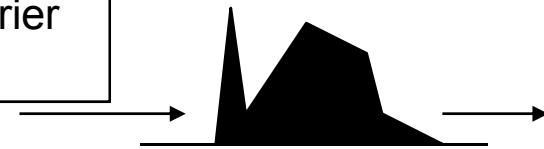
- Danshita et al. '06 (“localized component” scenario)

Finding anomalous tunneling in the presence of potential barrier  
 $V_0\delta(x)$  and **supercurrent**



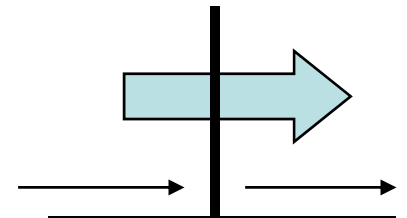
- Kato et al. '08, Watabe-Kato '08 (“ $u, v \rightarrow \Psi$ ” scenario)

Finding anomalous tunneling in the presence of potential barrier  
with **arbitrary shape**



- Ohashi-Tsuchiya '08 (“ $u, v \rightarrow \Psi$ ” scenario)

Detailed discussion on **Danshita's exact solution** in the  
presence of potential barrier  $V_0\delta(x)$  and **supercurrent**



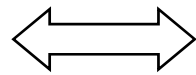


## “Fetter’s solution” (Fetter1972)

When  $\epsilon \rightarrow 0$   $\begin{pmatrix} u(\mathbf{r}) \\ v(\mathbf{r}) \end{pmatrix} = \begin{pmatrix} \Psi(\mathbf{r}) \\ \Psi^*(\mathbf{r}) \end{pmatrix}$  yields a solution of Bogoliubov eq.

“ $u, v \rightarrow \Psi$ ” scenario

$$\lim_{\epsilon \rightarrow 0} \begin{pmatrix} u \\ v \end{pmatrix} \propto \begin{pmatrix} \Psi \\ \Psi^* \end{pmatrix}$$



Perfect transmission in low energy limit

Low energy excitations inherit the transport properties from superfluidity of condensate.

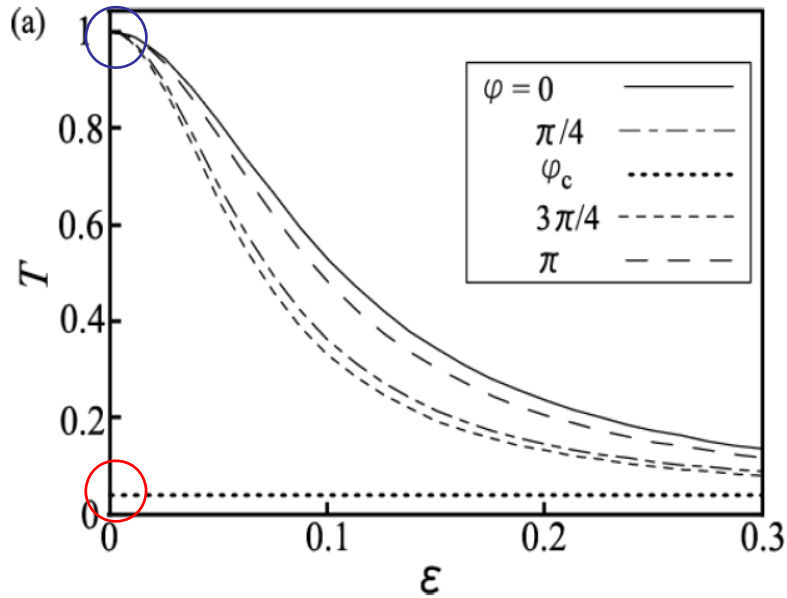
$$\{H_0(\mathbf{r}) + g|\Psi(\mathbf{r})|^2\}\Psi(\mathbf{r})=0 \quad H_0(\mathbf{r}) = -\frac{\hbar^2}{2m}\nabla^2 + V_{\text{ext}}(\mathbf{r}) - \mu$$

Gross-Pitaevskii equation

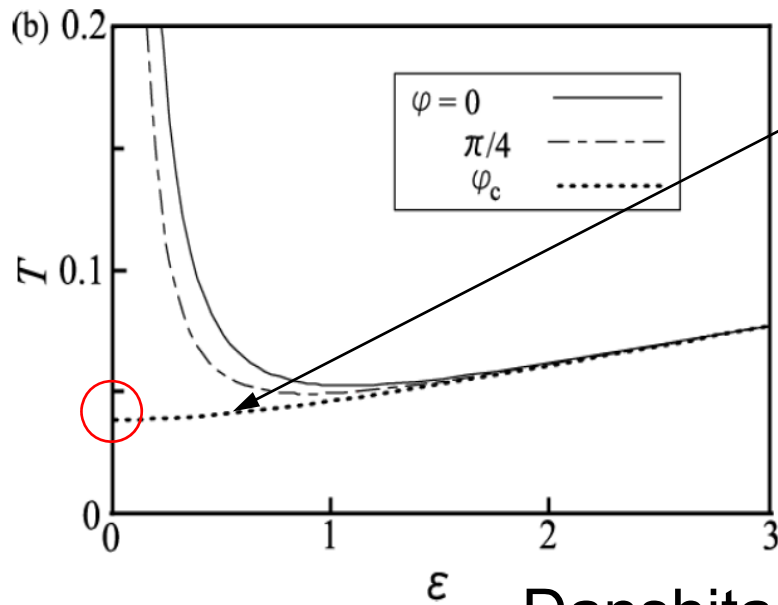
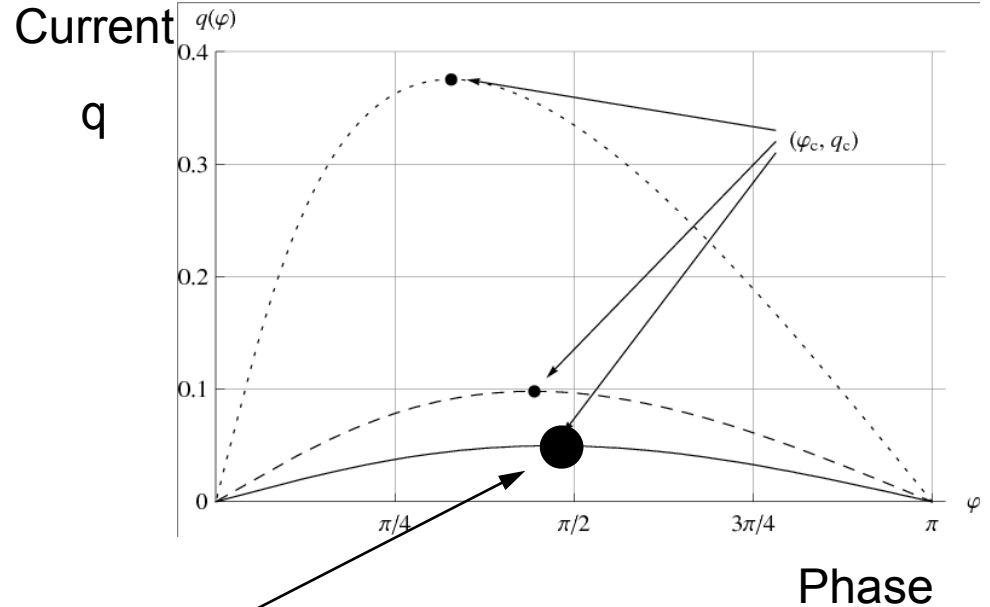
$$\begin{pmatrix} H_0(\mathbf{r}) + 2g|\Psi(\mathbf{r})|^2 & -g[\Psi(\mathbf{r})]^2 \\ g[\Psi^*(\mathbf{r})]^2 & -H_0(\mathbf{r}) - 2g|\Psi(\mathbf{r})|^2 \end{pmatrix} \begin{pmatrix} u_i(\mathbf{r}) \\ v_i(\mathbf{r}) \end{pmatrix} = \epsilon_i \begin{pmatrix} u_i(\mathbf{r}) \\ v_i(\mathbf{r}) \end{pmatrix}$$

Bogoliubov equation for  $u_i(\mathbf{r}), v_i(\mathbf{r})$

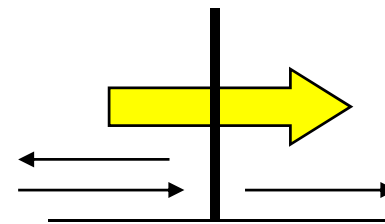
Transmission Prob. vs Energy



Josephson (current-phase) relation



Partial transmission at critical current

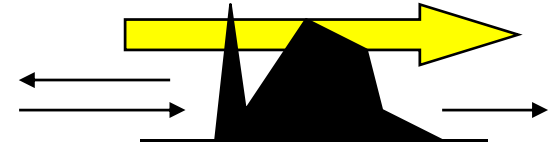


# Tunneling problem of Bogoliubov mode **at critical current** in the presence of **generic form of potential barrier** (Takahashi-Kato JPSJ'09, No.2)

(1) Proof of partial transmission in the low energy limit

$$\epsilon \rightarrow 0, \quad T \rightarrow \frac{4q^2\eta^2}{(q^2 + \eta^2)^2} (< 1 \text{ when } \eta \neq q)$$

$$\Psi(x) = A(x) \exp[i\Theta(x)] \quad \eta = -4q \int_0^\infty \frac{1}{A^3} \frac{\partial A}{\partial \varphi}$$



(2) Low energy limit of wave fn. of Excitation do not coincide with that of condensate.

$$\lim_{\epsilon \rightarrow 0} \begin{pmatrix} u \\ v \end{pmatrix} \propto \begin{pmatrix} \Psi \\ \Psi^* \end{pmatrix} - 2i \frac{q-\eta}{q+\eta} \frac{\partial}{\partial \varphi} \begin{pmatrix} \Psi \\ \Psi^* \end{pmatrix}$$

↑ “Fetter’s sol.”
↑ “local density fluc. sol.”

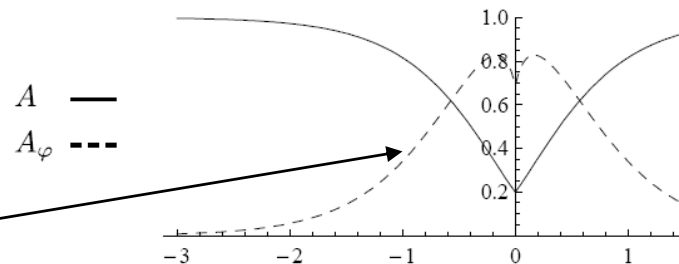
$$(3) \begin{matrix} S = ue^{-i\Theta} + ve^{i\Theta}, & G = ue^{-i\Theta} - ve^{i\Theta}, \\ \text{phase fluc.} & \text{density fluc.} \end{matrix} \quad \begin{cases} |\psi|^2 = A^2 \left[ 1 + \frac{2}{A} \text{Re}(Ge^{-i\epsilon t}) \right] \\ \frac{\psi}{|\psi|} \simeq e^{-i\mu t + i\Theta} \exp \left[ \frac{i}{A} \text{Im}(Se^{-i\epsilon t}) \right] \end{cases}$$

$$\begin{pmatrix} S \\ G \end{pmatrix} = \begin{pmatrix} A \\ 0 \end{pmatrix}$$

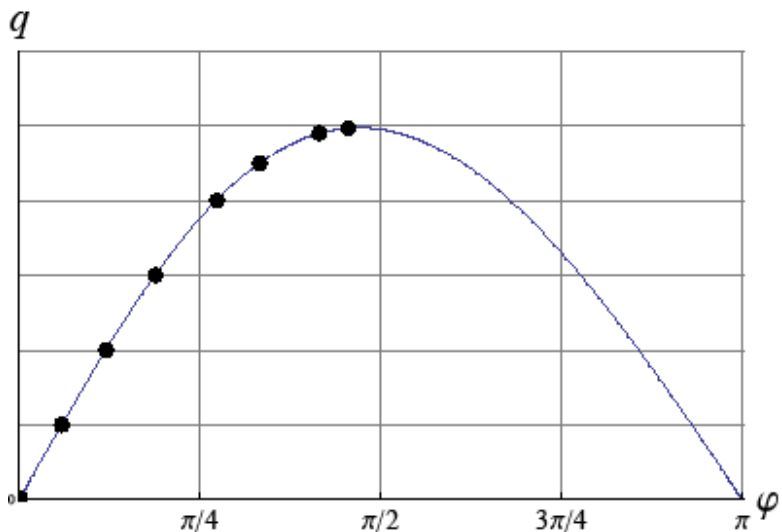
“phase fluctuation only”

$$\begin{pmatrix} S \\ G \end{pmatrix} = \begin{pmatrix} -2iqA \int_0^\infty \frac{1}{A^3} \frac{\partial A}{\partial \varphi} \\ \frac{\partial A}{\partial \varphi} \end{pmatrix}$$

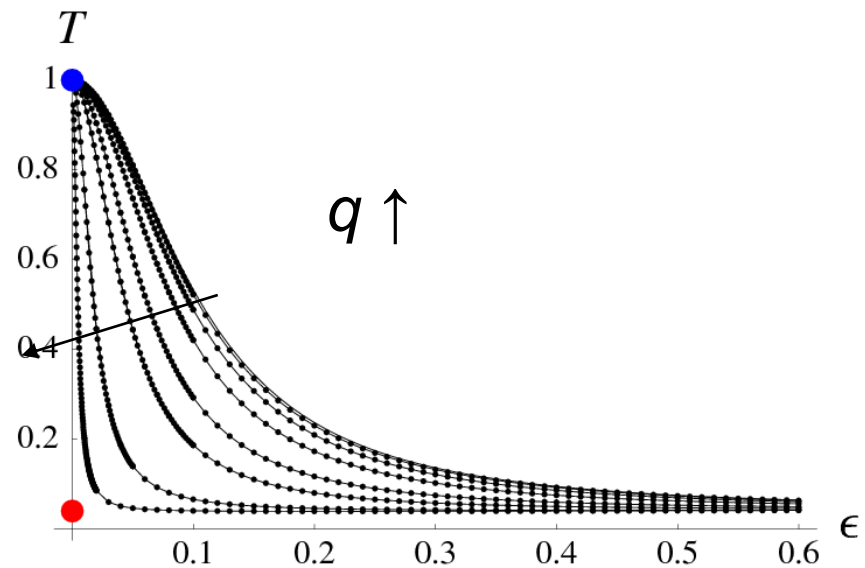
Localized density fluc.



## Josephson (current-phase) relation



## Transmission Prob. vs Energy

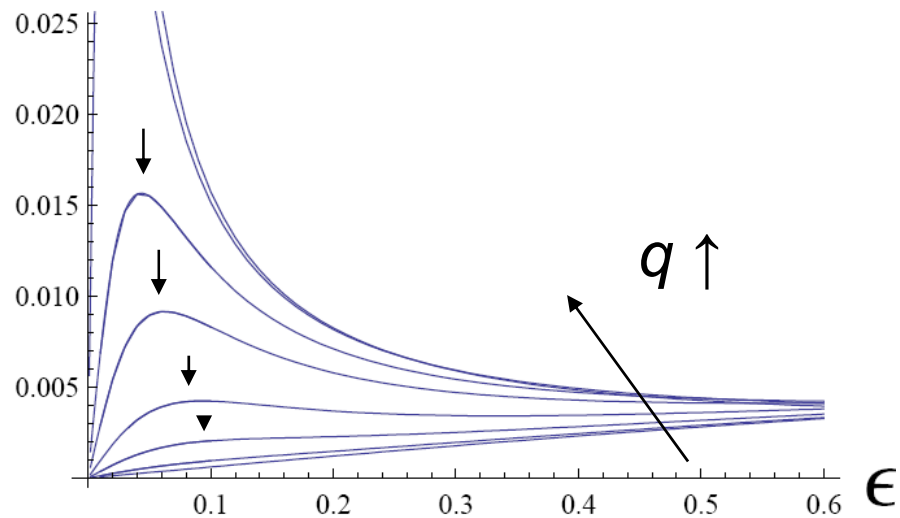


$$\hat{n}(x) := \hat{\psi}^\dagger(x)\hat{\psi}(x) - \langle \mathbf{g} | \hat{\psi}^\dagger(x)\hat{\psi}(x) | \mathbf{g} \rangle$$

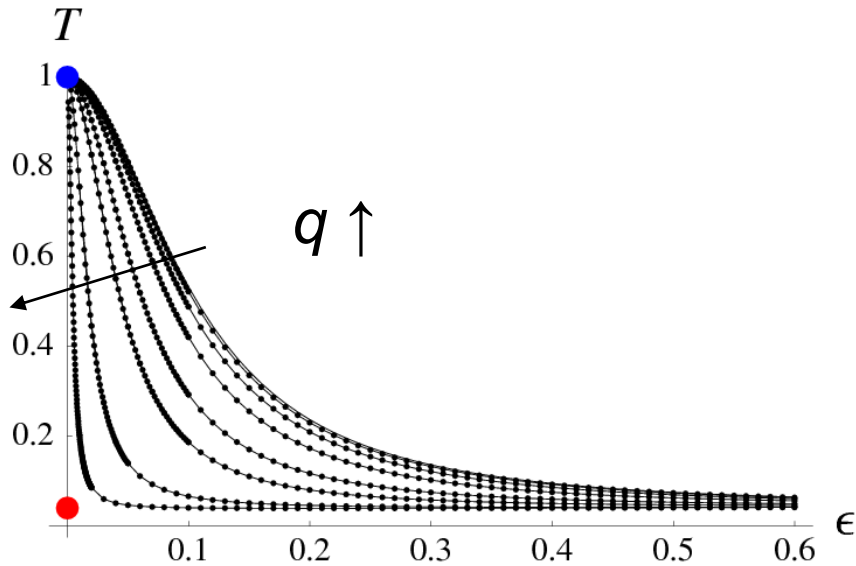
$$\rho(x, \epsilon) = \sum_l \langle l | \hat{n}(x) | \mathbf{g} \rangle^2 \delta(\epsilon - E_l + E_g)$$

“Spectral function of local density fluctuation”

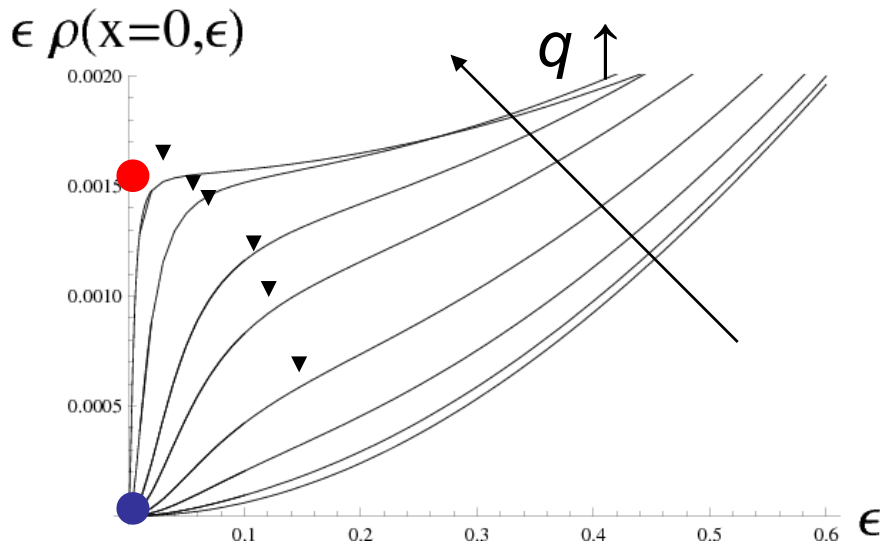
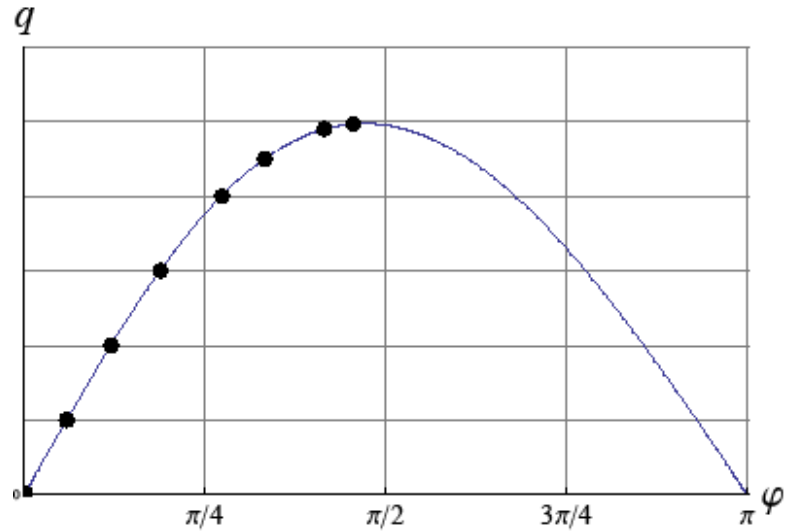
$$\rho(x=0, \epsilon)$$



## Transmission Prob. vs Energy



## Josephson (current-phase) relation



- With supercurrent  $q \uparrow$ , local density fluctuation increases.
- Cross over energy ( $\blacktriangledown$ ) decreases, with  $q \uparrow$
- Quench of local density fluc. Leads to enhancement of transmission probability

# Discussion; Importance of suppression of local density fluctuation

suppression of local density fluctuation

Low energy excitation ; phase fluctuation

$$\lim_{\epsilon \rightarrow 0} \begin{pmatrix} u \\ v \end{pmatrix} \propto \begin{pmatrix} \Psi \\ \Psi^* \end{pmatrix}$$

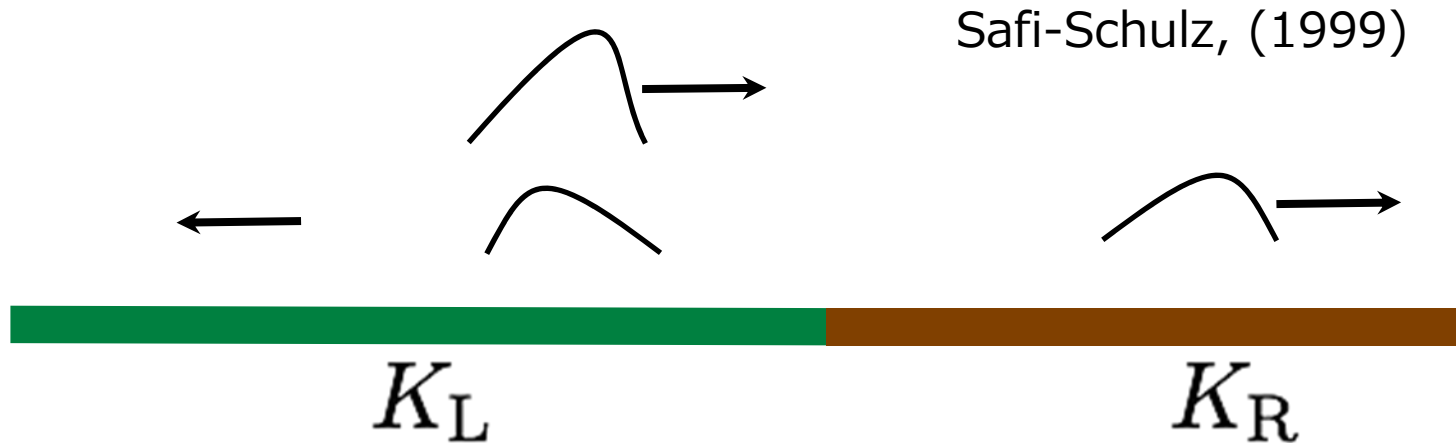
Physical properties of low energy excitations are similar to those of condensate.

Perfect transmission in low energy limit

# Related activities

- Anomalous Tunneling in Spinor BEC ([Watabe](#)); **P36**
- Linewidth ([Takahashi](#)); **P37**
- Relevance to physics of Tomonaga-Luttinger liquids([Watabe](#))
- Tunneling between BEC with different densities ([Watabe](#))
- Finite Temperature ([Nishiwaki](#))

# Relevance to Tunneling of Luttinger liquid (Watabe-Kato)



Luttinger parameter

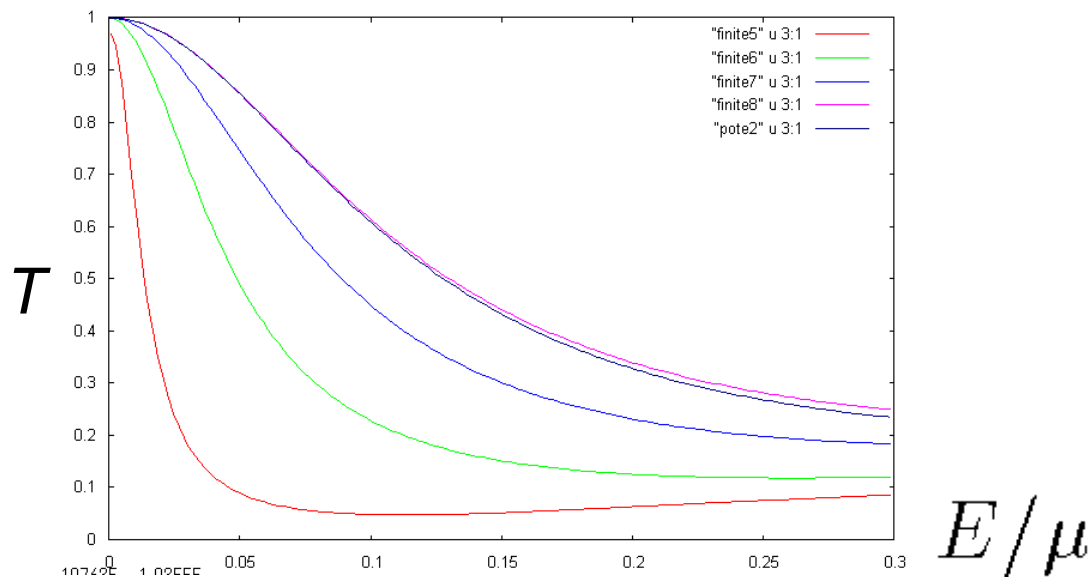
$$T = \frac{4K_L K_R}{(K_L + K_R)^2} \quad R = \frac{(K_L - K_R)^2}{(K_L + K_R)^2}$$

Weakly interacting Bosons  $K = \pi\hbar\sqrt{\frac{\rho_0}{mg}}$

$$T = \frac{4c_L c_R}{(c_L + c_R)^2} \quad R = \frac{(c_L - c_R)^2}{(c_L + c_R)^2} \quad c \equiv \sqrt{\frac{g\rho_0}{m}}$$



# Finite Temperature, Popov approx.(Nishiwaki-Kato)



$$\underline{\{H_0(\mathbf{r}) + g|\Psi(\mathbf{r})|^2 + 2g\rho(\mathbf{r})\}\Psi(\mathbf{r}) = 0} \quad \text{Stationary GP eq..}$$

Eq. for excitation

$$(H_0(\mathbf{r}) + 2g|\Psi(\mathbf{r})|^2 + 2g\rho(\mathbf{r}))u_i(\mathbf{r}) - g\Psi(\mathbf{r})^2 v_i(\mathbf{r}) = \varepsilon_i u_i(\mathbf{r})$$

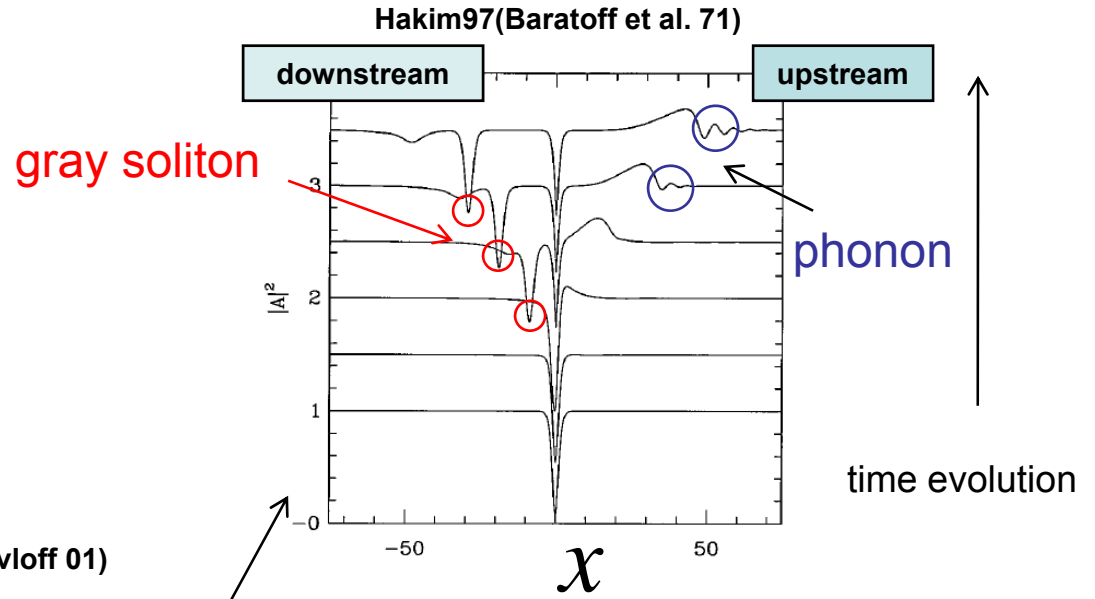
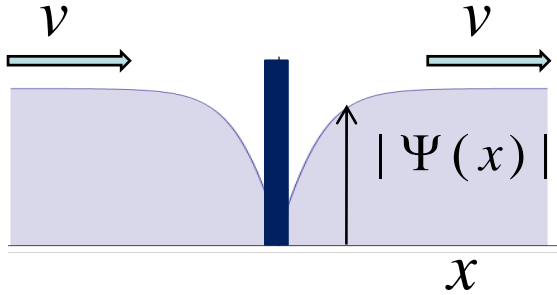
$$(H_0(\mathbf{r}) + 2g|\Psi(\mathbf{r})|^2 + 2g\rho(\mathbf{r}))v_i(\mathbf{r}) - g\Psi^*(\mathbf{r})^2 u_i(\mathbf{r}) = -\varepsilon_i v_i(\mathbf{r})$$

$$\rho(\mathbf{r}) = \sum_i [ |u_i(\mathbf{r})|^2 f^B(\varepsilon_i) + |v_i(\mathbf{r})|^2 (f^B(\varepsilon_i) + 1) ] \quad f^B; \text{ Bose fn.}$$

## Future work

- Instability of current carrying Luttinger liquid in the presence of impurity
- Local density fluctuation spectrum and instability of superflow around an obstacle

# Critical current of flow against the potential barrier (1dGP)



Landau instability

Payloff 02(Leoeouf-Pavloff 01)

